

OFFICE OF THE POLICE & CRIME COMMISSIONER

OFFICE OF CHIEF CONSTABLE

TITLE:	Outstanding Audit Inspection Recommendations
DATE:	14th September 2017
TIMING:	Routine
PURPOSE:	For Monitoring

1. RECOMMENDATION

1.1 That the Joint Audit Committee monitors the implementation of recommendations arising from reviews undertaken by External and Internal Audit as set out in the annual internal audit plan.

2. INTRODUCTION & BACKGROUND

2.1 This report provides details of:

- i) the status of all high and medium outstanding audit recommendations where the agreed completion date has not been met and an extension of the completion date is sought;
- ii) the status of all the other high priority outstanding audit recommendations; and
- iii) a list of all those audit recommendations that have been completed since the last Joint Audit Committee. This is for information only.

3. ISSUES FOR CONSIDERATION

3.1 Attached at Appendix 1 is the status report of the outstanding recommendations from internal audit reports. Each appendix has been split into three sections in line with paragraph 2.1. There are no outstanding external audit recommendations as the actions were reported to the last meeting.

3.2 Each recommendation shows the officer responsible for completing the required actions, the date by which it is planned to complete the necessary work and the current status of that work.

3.3 The following tables summarise the current implementation status of all outstanding audit recommendations to date:

External Audit

Findings	High	Medium	Low	Total
B/Fwd	0	0	3	0
New reports	0	0	0	0
Completed	0	0	3	0
Ongoing	0	0	0	0

Internal Audit				
Findings	High	Medium	Low	Total
B/Fwd	4	28	23	55
New reports	0	9	10	19
Completed	2	9	11	22
Ongoing	2	28	22	52

3.4	<p>The following audit reports have been produced since the previous Joint Audit Committee meeting:</p> <p>Internal Audit –</p> <p>REVIEW OF FLEET MANAGEMENT – DELIVERY ARRANGEMENTS 2017/18</p> <p>REVIEW OF VETTING ARRANGEMENTS 2017/18</p> <p>REVIEW OF THE RISK MANAGEMENT – MITIGATING CONTROLS 2017/18</p> <p>SHARED RESOURCE SERVICE (SRS) CHANGE MGT 2017/18 (report by <i>Torfaen CBC</i>).</p>
4.	<u>NEXT STEPS</u>
4.1	Appropriate steps to implement the latest audit recommendations are being taken and progress is monitored on an ongoing basis.
5.	<u>FINANCIAL CONSIDERATIONS</u>
5.1	There are no financial implications arising from this report.
6.	<u>PERSONNEL CONSIDERATIONS</u>
6.1	There are no personnel implications arising from this report.
7.	<u>LEGAL IMPLICATIONS</u>
7.1	There are no legal implications arising from this report.
8.	<u>EQUALITIES AND HUMAN RIGHTS CONSIDERATIONS</u>
8.1	This report has been considered against the general duty to promote equality, as stipulated under the Strategic Equality Plan and has been assessed not to discriminate against any particular group.
8.2	In preparing this report, consideration has been given to requirements of the Articles contained in the European Convention on Human Rights and the Human Rights Act 1998.
9.	<u>RISK</u>
9.1	The risk of not completing the recommendations is that it exposes the organisation to operational and financial loss.
10.	<u>PUBLIC INTEREST</u>
10.1	The report will be made available to the public.
11.	<u>CONTACT OFFICER</u>
11.1	Nigel Stephens, ACO - Resources.

12.	<u>ANNEXES</u>
12.1	Appendix 1: Internal audit recommendations - Status Report as at 14 th September 2017.