

OFFICE OF THE POLICE & CRIME COMMISSIONER

OFFICE OF CHIEF CONSTABLE

TITLE:	Outstanding Audit Inspection Recommendations
DATE:	11 December 2019
TIMING:	Routine
PURPOSE:	For Monitoring

1.	<u>RECOMMENDATION</u>
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1.1	That the Joint Audit Committee monitors the implementation of recommendations arising from reviews undertaken by External and Internal Audit as set out in the annual internal audit plan.
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2.	<u>INTRODUCTION & BACKGROUND</u>
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2.1	<p>This report provides details of:</p> <ul style="list-style-type: none">i) the status of all Priority 1 and Priority 2 outstanding audit recommendations where the agreed completion date has not been met and an extension of the completion date is sought;ii) the status of all the other Priority 1 outstanding audit recommendations; andiii) a list of all those audit recommendations that have been completed since the last Joint Audit Committee. This is for information only.
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3.	<u>ISSUES FOR CONSIDERATION</u>
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3.1	Attached at Appendix 1 is the status report of the outstanding recommendations from internal audit reports as at 03 December 2019. Each appendix has been split into three sections in line with paragraph 2.1.
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3.2	Each recommendation shows the officer responsible for completing the required actions, the date by which it is planned to complete the necessary work and the current status of that work.
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3.3	The following tables summarise the current implementation status of all outstanding audit recommendations to date:
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Internal Audit

Findings	Urgent Priority 1	Important Priority 2	Routine Priority 3	Total
B/Fwd	1	12	9	22
New Recommendations	1	14	18	33
Completed	0	13	18	31
Ongoing	2	13	9	24

3.4	<p>The following audit reports have been produced since the previous report:</p> <p>Internal Audit –</p> <ul style="list-style-type: none"> • Operational Review of Collaborative Change Control • Appraisal Review of Duty Resource Management • Assurance Review of Risk Management – Mitigating Controls • Assurance Review of HR Management – Absence Management • Follow Up Review - Fleet Management – Single System • Compliance Review of Fleet Management – Repairs and Maintenance • Appraisal Review of Victims’ Hub • Interim Follow Up Review • Compliance Review of New HQ Contract Management - Part 1 • Assurance Review of Fixed Assets <p>Information on the outstanding SRS audit recommendations can be found at Appendix 2 (SRS Summary of Internal Audit Activity 2019-20 (Year to Date) and Appendix 3 (SRS ICT Audit Update December 2019).</p>
4.	<u>NEXT STEPS</u>
4.1	Appropriate steps to implement the latest audit recommendations are being taken and progress is monitored on an ongoing basis.
5.	<u>FINANCIAL CONSIDERATIONS</u>
5.1	There are no financial implications arising from this report.
6.	<u>PERSONNEL CONSIDERATIONS</u>
6.1	There are no personnel implications arising from this report.
7.	<u>LEGAL IMPLICATIONS</u>
7.1	There are no legal implications arising from this report.
8.	<u>EQUALITIES AND HUMAN RIGHTS CONSIDERATIONS</u>
8.1	This report has been considered against the general duty to promote equality, as stipulated under the Strategic Equality Plan and has been assessed not to discriminate against any particular group.
8.2	In preparing this report, consideration has been given to requirements of the Articles contained in the European Convention on Human Rights and the Human Rights Act 1998.
9.	<u>RISK</u>
9.1	The risk of not completing the recommendations is that it exposes the organisation to operational and financial loss.
10.	<u>PUBLIC INTEREST</u>
10.1	The report will be made available to the public.
11.	<u>CONTACT OFFICER</u>
11.1	Nigel Stephens, ACO - Resources.
12.	<u>ANNEXES</u>
12.1	Appendix 1: Internal Audit Recommendations – Status Report as at December 2019. Appendix 2: SRS Summary of Internal Audit Activity 2019-20 (Year to Date) Appendix 3: SRS ICT Audit Update December 2019.