**SRS Audit Update**

**DATE: Finance and Governance Board, March 5th, 2023**

**SUBJECT: 2023-24 Audit Plan Update**

Report Submitted by: Matt Lewis (COO SRS)

Report Written by: Kath Beavan-Seymour (Deputy COO SRS)

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| **1.** | **Area Affected** |
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| 1.1 | County borough wide for Blaenau Gwent County Borough Council, Gwent Office of the Police and Crime Commissioner, Newport City Council, Monmouthshire County Council and Torfaen County Borough Council. |
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| **2.** | **Purpose of Report** |
|  |  |
| 2.1 | To update on the progress of the audit plan 2023-24, open actions and exceptions.  |
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| **3.** | **Current audit action position** |
| 3.1 | Actions Update* Local Authority Partners

There are a total of 2 new open actions for all partners which are to be referred to ISLB in April 2024.* Gwent Police

There are a total of 2 new open actions for all partners which are to be referred to ISLB in April 2024. |
| 3.2 | The current audit actions activity for open actions is shown in the table below.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Audit Name**  | **Current Open Actions** | **Planned End Date**  | **Owner** | **Partner** | **RAG status** |
| **IS Governance** | 2 | April 2024 | Partners | All |  |

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| **4.** | **Audit plan changes** |
| 4.1 | None reported currently. |
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| **5.** | **Planned Audits – 2023-24** |
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| 5.1 | The current audit plan status is shown in the table below.

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| **Audits**  | **Type of audit** | **Status** | **Update** |
| Active Directory | Full System | Planned for Q4 |  |
| Firewall | Follow Up | In progress |  |
| Identity and Access Management | Follow Up | In progress |  |
| HALO | SPL | In progress |  |
| SolarWinds | Full System | Fieldwork |  |
|  |
| EdTech | Follow Up | Complete | Risk for Local Authorities raised at F+G June 2023 |
| Application Support | Follow Up | Complete | Full Assurance |
| CCTV Control Centre | Full System | Complete | Full Assurance |
| O365 | Follow Up | Complete | Full Assurance |
| Change Management | Follow Up | Complete | Full Assurance |
| Telephony | Full System | Complete | Full Assurance |
| IT Governance | SPL | Complete | Substantial.  |

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| **6.** | **Monitoring and Evaluation** |
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| 6.1 | The audit process is monitored by the Deputy COO / Director of Operations. |