



Audit Plan

2025-26

Finance and Governance Board - SRS Audit Update

DATE: Nov 12nd, 2025

SUBJECT: 2025-26 Audit Plan Update

Report Submitted by: Matt Lewis (COO SRS)

Report Written by: Kath Beavan-Seymour (Deputy COO SRS)

1. Area Affected

1.1 County borough wide for Blaenau Gwent County Borough Council, Gwent Office of the Police and Crime Commissioner, Newport City Council, Monmouthshire County Council and Torfaen County Borough Council.

2. Purpose of Report

2.1 To update on the progress of the audit plan 2024-25, open actions and exceptions.

3. Current audit action position

3.1 The status of open audit actions for the SRS is shown in the table below.

Owner	Audit Name	Issues identified	Total Open	H	M	L	Planned End Date	Partner(s)	Status
SRS	ITSCM - 24008	6	1	2	4		September 2025	All	
SRS	IAM - 25005	3	3		3		April 2026	All	On schedule

Two of the actions for Identity and Access Management were discussed with the One Wales Governance Group (September 25). These are in relation to the deletion of accounts when individuals leave the organisation and the objectives for IAM.

The following outcomes were recorded.

ISS.1 - SLA Inclusion of IAM: Identity and access management is now included in the SLA, with objectives to be reviewed by CISO and stakeholders before the end of the year.

ISS.3 - Account Retention Process: The issue of retaining user accounts for handover or inquiries, such as the COVID inquiry. It was proposed that requests be formally recorded and referred to data protection teams for approval. With suggestions to use Halo for tracking. It was noted that internal audit may be involved in approval for account retention in some authorities and agreed that data protection teams should coordinate with audit as needed for consistency

SRS	Disposals - 25007	2	2		2		January 2026	All	New
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The planned reconciliation of disposals data was delayed due to the absence of a key member of staff. This has meant data quality hadn't been reviewed prior to the audit.

ISS.1 - The procedural requirement needs to be met through an effective system for reconciliation of the items disposed of.

[SRS] Following the return to work of the staff member, current data will be reviewed and given integrity, a retrospective reconciliation will be performed and the results used to remind staff going forward, with a reconciliation carried out at the time of each disposal.



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ISS.2 - Management needs to ensure that the required management trail is in place and operating and that the disposal inventory is accurate and has integrity in terms of the data recorded.

[SRS] The audit outcomes will be used to remind staff of the requirements of the management trail. Any fields that need to be made mandatory will be.

The status of open audit actions for Gwent Police is shown on the table below. The following actions are allocated to Gwent Police Estates and Facilities.

Owner	Audit Name	Issues identified	Total Open	H	M	L	Planned End Date	Partner(s)	Status
Gwent Police Estates and Facilities	Gwent Police Audit – Data Hall	9	8	3	5		November 2024	Gwent Police	Behind schedule

4. Audit plan changes

4.1 None reported currently.

5. Audit Year – 2026-26

5.1 The audit plan progress is shown in the table below.

2025/26 Internal Audit Plan (as agreed) include:

Audit Type	Audit	QTR	Status	Update
FULL	Change Management	2	Complete	Full Assurance
FULL	Cybersecurity	4		
FULL	Firewall	4		
FULL	Identity and Access Management	1	Complete	Full Assurance
FUP	Information Security Management System	1	Complete	Full Assurance
FUP	IT Disposals	3	Complete	Substantial
FUP	IT Service Continuity Management	3	Issued	
FUP	Mobile Computing	2	Complete	
FULL	O365	4		
FULL	Virtualisation	4		
FUP	Data Centre – GPA	2	Issued	Requested update from GP

6. Monitoring and Evaluation

6.1 The audit process is monitored by the Deputy COO / Director of Operations.