**Finance and Governance Board - SRS Audit Update**

**DATE: March 4th, 2025**

**SUBJECT: 2024-25 Audit Plan Update**

Report Submitted by: Matt Lewis (COO SRS)

Report Written by: Kath Beavan-Seymour (Deputy COO SRS)

|  |  |
| --- | --- |
| **1.** | **Area Affected** |
| 1.1 | County borough wide for Blaenau Gwent County Borough Council, Gwent Office of the Police and Crime Commissioner, Newport City Council, Monmouthshire County Council and Torfaen County Borough Council. |
|  |  |
| **2.** | **Purpose of Report** |
| 2.1 | To update on the progress of the audit plan 2024-25, open actions and exceptions. |
|  |  |
| **3.** | **Current audit action position** |
| 3.1 | The status of open audit actions for the SRS is shown in the table below.   |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | **Owner** | **Audit Name** | **Issues identified** | **Total Open** | **H** | **M** | **L** | **Planned End Date** | **Partner(s)** | **Status** | | SRS | Mobile computing | 1 | 1 |  |  | 1 | July 2025 | All | Open | | SRS | IT Disposals | 3 | 2 |  | 2 |  | April 2025 | LA’s | Open |   The following actions are allocated to Gwent Police. The status of open audit actions for Gwent Police is shown in the table below   |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | **Owner** | **Audit Name** | **Issues identified** | **Total Open** | **H** | **M** | **L** | **Planned End Date** | **Partner(s)** | **Status** | | Gwent Police Estates and Facilities | Gwent Police Audit – Data Hall | 9 | 8 | 3 | 5 |  | November 2024 | Gwent Police | Open | |
|  |  |
| **4.** | **Audit plan changes** |
| 4.1 | None reported currently. |
|  |  |
| **5.** | **Audit Year – 2024-25** |
| 5.1 | The 24/25 audit plan is shown in the table below.   |  |  |  |  | | --- | --- | --- | --- | | **Audits** | **Type of audit** | **Planned Quarter** | **Update** | | Active Directory | Follow Up | Complete | Full assurance | | Application Integration Service | Full System | Complete | Full assurance | | Business Management | Full System | Complete | Full assurance | | Gwent Police Audit - Data Centre | Full System | Complete | Moderate assurance | | HALO – Service Management | Follow Up | Complete | Full assurance | | Information Security Management System | Full System | Complete | Full assurance | | IT Disposals | Full System | Complete | Substantial assurance | | IT Service Continuity Management | Full System | Ongoing | In progress | | Mobile Computing | Full System | Complete | Full assurance | | Software Asset Management | Full System | Complete | Full assurance | | Solarwinds – Network service management | Follow Up | Complete | Full assurance | |
|  |  |
| **6.** | **Monitoring and Evaluation** |
| 6.1 | The audit process is monitored by the Deputy COO / Director of Operations. |