

**File classification: SWYDDOGOL-DIM ANGEN MARC OFFICIAL - NO MARKING**

<b>OFFICE OF THE POLICE AND CRIME COMMISSIONER</b>	
<b>LEAD CHIEF OFFICER:</b>	<b>Deputy Chief Constable Pam Kelly</b>
<b>TITLE:</b>	<b>Professional Standards Performance Report, Q4</b>
<b>DATE:</b>	<b>5<sup>th</sup> June 2019</b>
<b>TIMING:</b>	<b>Routine</b>
<b>PURPOSE:</b>	<b>For monitoring</b>
<b>1.</b>	<b><u>RECOMMENDATION</u></b>
1.1	The purpose of this report is to provide a narrative to accompany with IOPC national Police Complaints Information Bulletin, an update on misconduct outcomes and on force vetting for monitoring.
<b>2.</b>	<b><u>INTRODUCTION &amp; BACKGROUND</u></b>
2.1	<p>The IOPC produce a national Police Complaints Data Bulletin on a quarterly basis which includes comparative data against the MSFs and nationally. It provides an overview of the number and types of complaints, timeliness, the number of appeals to the force and the IOPC and the outcome of these appeals.</p> <p>The bulletin is a sound basis for assessing the performance of the Professional Standards Department; this report is intended to provide a narrative to accompany the IOPC data.</p> <p>Although the IOPC bulletin is produced quarterly the data provided uses cumulative year to date figures, rather than examining performance in each individual quarter.</p>
2.2	A brief overview of Conduct cases concluded in this period is also included; however, where the case was held in public, full details will already have been published on the force website.
2.3	The force vetting function forms part of the Professional Standards Department, carrying out vetting checks on potential new recruits and police staff applicants, contractors and re-vetting current officers, staff and contractors.
<b>3.</b>	<b><u>ISSUES FOR CONSIDERATION</u></b>
3.1	<p><b><u>Commentary on attached IOPC Complaints Information Bulletin</u></b></p> <p><b>IOPC Appeals:</b> The number of appeals upheld by the IOPC are low with only 3 appeals being upheld in the full 12 month period.</p> <p>Of these only 1 IOPC appeal was upheld in Q4; this was a non-recording appeal where the force had made a decision not to record a complaint on the grounds that it was repetitious. The complainant appealed this decision and the appeal was upheld on the grounds that the original complaint was still live and therefore, the second matter should have been recorded and then disappled, as opposed to not being</p>

recorded. This was a procedural matter and following advice from the IOPC, the correct process was used to disapply the complaint as repetitious.

**Force Appeals:** The report shows a high percentage of Local Resolution appeals being upheld and a significant increase on the previous year; however, the numbers are low (5 for the year) and it has been identified that the high percentage increase was caused by incorrect appeal criteria being applied to cases, resulting in appeals being incorrectly upheld. This has now been rectified, the percentage levels have dropped and are anticipated to return to normal levels for 2019/20

**LR appeals upheld per Quarter:**

Q1 – 3 (cumulative 100%)

Q2 – 0 (cumulative 100%)

Q3 – 2 (cumulative 56%)

Q4 – 0 (cumulative 42%)

**Timeliness:** The recording complaints within the 10 day limit is above average at 95% compliance and is an improvement on last year's performance.

Timeliness for resolving complaints is excellent with the end of year data showing an average of 35 days for Local Resolution. This is an 11 day drop compared to last year's figure but is less than half the national average (72 days) and shows Gwent as the third best performing force behind BTP and west Yorkshire.

The increase in L.R. timescales is balanced by a 29 day reduction in the number of days to complete local investigations compare to last year ( 2017/18 – 129 days, 2018/19 – 100 days). This reduction is particularly significant as almost half of complaints are dealt with by local investigation. The reduction to 100 days puts Gwent as the second best performing force nationally for this area.

**Allegations recorded and finalised:** We record more allegations per 1000 employees than most forces; this has been a consistent theme but is not considered to be a result of the public being significantly less satisfied with the service they receive from Gwent.

We fall within the middle of our MSF with 3 forces recording more and 3 forces recording less allegations per 1000 employees and discussion with other forces and the IOPC indicate that the most significant factor in this is recording processes. The high percentage of withdrawn complaints supports this and is examined further below.

We provide good on-line reporting facilities and also encourage staff to complete the on-line complaints forms for matters they are unable to resolve there and then. This is in line with the IOPC guidance but results in more complaints being recorded than in forces where the complainant may be passed to a local supervisor for service recovery in the first instance.

The PSD process involves early contact with the complainant, but if the matter cannot be resolved quickly it is generally recorded within the 10 day limit and sent to

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the LPA PSD staff to resolve. These staff are able to carry out a personal visit the complainant and can take the time to explain officer/s' actions or processes or show them BVW of the incident, which on many occasions results in withdrawal of the complaint.

Consideration has been given to amending the process to focus on early resolution and early service recovery; a short trial was undertaken but this had a negative impact on recording timeliness. The new regulations will reduce the recording time limits to 5 days and therefore, it is planned to maintain the current process until the new regulations are embedded.

We Locally Resolve a lower percentage of complaints than most forces. Whilst the IOPC guidance allows LR to be used in appropriate cases (even if the complainant does not support LR) we do not use it unless they consent. This results in a higher level of local investigations than most forces; however, the low number of upheld appeals show that this is a good process for the force and the public.

3.2

### **Complaints – Categories of allegations**

Note: a single complaint can be made up of a number of separate allegations recorded under different categories.

<b>Allegations</b>	
<b>Category</b>	<b>%</b>
<b>Neglect or failure in duty</b>	<b>45%</b>
<b>Incivility/Impoliteness/Intolerance</b>	<b>16%</b>

The ratio of allegation categories has remained relatively consistent year on year, with Neglect of Duty remaining by far the biggest category for complaints; which is in line with most forces.

Whilst Neglect can be a catch all for allegations that do not fit within the other available options, in general terms, complainants were unhappy with:

- Poor quality of service provided.
- Failure to investigate
- Being kept up to date/informed.
- Failure to contact

The IOPC do not report on the percentage of allegations upheld or not upheld by each force as this can be subjective and can vary greatly depending on the wording of the complaint. It is not thought to be a reliable indicator of performance and therefore, data on this is not included in this report.

There is no indication that the failure to investigate allegations are linked to the Investigation Framework and PSD complaints data is supplied to the IF Gold Group.

3.3

### **Distribution of allegations**

The distribution of allegations remain proportionately split between East and West with no discernible patterns in any area.

Work has been conducted previously to ascertain whether the number of new recruits has impacted on the number of complaints received. There has not been a noticeable impact and this position remains.

3.4

### **Equality Monitoring – complainants**

The force has adopted the national electronic complaints form which has allowed improved equality monitoring. The form is used by complainants reporting on-line and also by staff to record complaints made via 101 or at the station.

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The form does not allow complainant to select "prefer not to say" but does offer "not stated" as an option

The below tables relate to declared monitoring data recorded between 01/04/2018 and 31/03/2019:

Self-Classified Ethnicity	Number	Percentage
White	192	56%
Not stated	119	33%
Asian	27	7%
Black	3	1%
Other	2	1%

The form also offers the option to select for disability and sexual orientation, these sections are not mandatory and most complainant chose not to complete them:

Disability	Number	Percentage
No data	345	96%
Unknown	8	2%
Mental Health	4	1%
Physical	2	0.5%
none	1	<1%
Total	360	

Sexual Orientation	Number	Percentage
No data	186	52%
Heterosexual	150	42%
Unknown	10	2.5%
Prefer not to say	7	2%
Gay / lesbian	4	1%
Other	3	<1%
	360	

**3.5 Conduct**

Conduct			
Reporting Period	Number	Reporting Period	Number
Q4 2018/2019	6	Q4 2017/18	5
Q3 2018/2019	10	Q3 2017/2018	14
Q2 2018/2019	10	Q2 2017/2018	13
Q1 2018 /2019	11	Q1 2017/2018	11
Total	37	Total	43

There has been a slight reduction in the number of Conduct cases recorded in

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	<p>2018/19 compared to the previous 12 months. This was anticipated as part of a move to the forthcoming changes to Police regulations where the threshold for Misconduct is being adjusted to a "serious breach" of the Standards of Professional Behaviour, rather than just a breach of these standards.</p> <p>The regulations have not gone through parliament yet (due to Brexit legislation taking up parliamentary time), however, all forces have been urged to move to this threshold through national guidance circulated by the Complaints and Discipline national lead.</p>
3.6	<p><b>Misconduct Outcomes for Q4 2018/2019</b></p> <ul style="list-style-type: none"><li>• <b>Former PC James Vaughan – 29th and 30th January 2019</b>  The panel did not find the charges proved.</li><li>• <b>Former PC Mendy Sambou – 11th March 2019</b>  The panel found the charges proved and that the officer would have been dismissed had she still been serving.</li><li>• <b>PC Fitzpatrick – 15th March 2019</b>  Misconduct panel found a number of the charges proved and the Officer was dismissed without notice.</li><li>• <b>Former PC Clarke Joslyn - concluded on 22nd March 2019</b>  The panel found a number of the charges proved and that the officer would have been dismissed had he still been serving.</li></ul>
3.7	<p><b>External scrutiny</b></p> <p>The number of live IOPC investigations is low with 4 cases being independently investigated; none of these are expected to result in a recommendation that misconduct proceedings should be undertaken.</p> <p>DCC KELLY meets with the IOPC Investigation Team on a quarterly basis in order to receive updates on the progress of these Investigations.</p> <p>There is a good working relationship with the IOPC and they are satisfied that Gwent PSD refer all cases that fit the criteria.</p>

4.	<p><b>Vetting</b></p> <table border="1" data-bbox="549 297 1243 452"> <tr> <th colspan="2"><b>Vetting Completed – Quarter 4 2019</b></th> </tr> <tr> <td>Police officer / staff</td> <td align="right">136</td> </tr> <tr> <td>Contractors / outside agency</td> <td align="right">334</td> </tr> <tr> <td><b>Total</b></td> <td align="right"><b>470</b></td> </tr> </table> <p>Of the 470 people vetted during this period 452 were granted vetting and 18 were refused.</p> <table border="1" data-bbox="549 663 1243 893"> <tr> <th colspan="2"><b>Vetting Refusals – Quarter 3 2018/19</b></th> </tr> <tr> <td>Previous conviction / caution</td> <td align="right">4</td> </tr> <tr> <td>Financial Vulnerability</td> <td align="right">8</td> </tr> <tr> <td>Negative Intelligence</td> <td align="right">5</td> </tr> <tr> <td>Associates</td> <td align="right">1</td> </tr> <tr> <td><b>Total</b></td> <td align="right"><b>18</b></td> </tr> </table>	<b>Vetting Completed – Quarter 4 2019</b>		Police officer / staff	136	Contractors / outside agency	334	<b>Total</b>	<b>470</b>	<b>Vetting Refusals – Quarter 3 2018/19</b>		Previous conviction / caution	4	Financial Vulnerability	8	Negative Intelligence	5	Associates	1	<b>Total</b>	<b>18</b>
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5.	<p><b><u>NEXT STEPS</u></b></p>																				
5.1	<ul style="list-style-type: none"> <li>• HMICFRS Inspection of Vetting and Counter Corruption taking place w/c 13/05/2019 and any area for improvement will be acted upon following receipt of their feedback.</li> </ul>																				
6.	<p><b><u>FINANCIAL CONSIDERATIONS</u></b></p>																				
6.1	<p>No financial issues to note.</p>																				
7.	<p><b><u>PERSONNEL CONSIDERATIONS</u></b></p>																				
7.1	<p>No personnel considerations to note.</p>																				
8.	<p><b><u>LEGAL IMPLICATIONS</u></b></p>																				
8.1	<p>None.</p>																				
9.	<p><b><u>EQUALITIES AND HUMAN RIGHTS CONSIDERATIONS</u></b></p>																				
9.1	<p>This report has been considered against the general duty to promote equality, as stipulated under the Strategic Equality Plan and has been assessed not to discriminate against any particular group.</p>																				
9.2	<p>In preparing this report, consideration has been given to requirements of the Articles contained in the European Convention on Human Rights and the Human Rights Act 1998.</p>																				

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<b>10.</b>	<b><u>RISK</u></b>
10.1	No issues to risk to note.
<b>11.</b>	<b><u>PUBLIC INTEREST</u></b>
11.1	No public interest issues.
<b>12.</b>	<b><u>CONTACT OFFICER</u></b>
12.1	Detective Chief Inspector Judlth ROBERTS
<b>13.</b>	<b><u>ANNEXES</u></b>
13.1	None.



## Police Complaints Information Bulletin

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Reporting period: 01 April 2018 to 31 March 2019



Table A: Key Indicators					
Measure	Year to date actual	Count	Same period last year	MSF average	National result
<b>IOPC appeals upheld</b>					
% IOPC investigation appeals upheld	17%	1	14%	46%	38%
% IOPC local resolution appeals upheld	0%	0	0%	23%	67%
% IOPC non recording appeals upheld	40%	2	60%	41%	36%
% IOPC disapplication appeals upheld	0%	0	0%	0%	19%
% IOPC discontinuance appeals upheld	0%	0	0%	0%	0%
<b>Force appeals upheld and completed</b>					
% force investigation appeals upheld	9%	2	5%	3%	12%
% force local resolution appeals upheld	42%	5	10%	16%	16%
% force disapplication appeals upheld	20%	1	0%	13%	9%
% force discontinuance appeals upheld	0%	0	0%	25%	40%
Average number of days to complete all force appeals	17		49	51	50
<b>Complaint cases - timeliness</b>					
% complaint cases recorded within 10 days	95%	314	91%	88%	89%
Average number of days to finalise complaint cases (not inc suspension)	63		95	100	103
Average number of days to finalise complaint cases (inc suspension)	72		107	108	110
<b>Allegations - timeliness</b>					
Average number of days to locally resolve allegations	35		24	70	72
Average number of days to finalise allegations by local investigation	100		129	175	158
Average number of days to finalise allegations by supervised investigation	0		0	0	942
Table B: Allegations					
Measure	Year to date actual	Count	Same period last year	MSF average	National result
<b>Allegations recorded</b>					
Number of allegations recorded per 1000 employees	322		325	288	264
% of Other neglect or Failure in duty allegations	45%	315	48%	41%	41%
% of Incivility, Impoliteness and Intolerance allegations	18%	110	9%	13%	12%
% of General policing standards allegations	5%	37	5%	1%	1%
% of Other assault allegations	5%	34	5%	7%	7%
% of Breach of Code C PACE on detention, treatment and questioning allegations	5%	32	4%	3%	3%
<b>Allegations finalised</b>					
% allegations locally resolved	24%	184	19%	48%	48%
% allegations investigated	48%	364	61%	34%	40%
% allegations discontinued	0%	0	0%	1%	1%
% allegations disappplied	5%	38	7%	7%	6%
% allegations dispensed	0%	0	0%	0%	0%
% allegations withdrawn	23%	180	13%	9%	6%

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Table C: IOPC performance

Measure	Year to date actual	Count	Same period last year	MSF average	National result
Ave number of days to complete referrals	2		2		
<b>Casework - timeliness</b>					
Ave number of days to forward appeals to the RAB	1		0		
Ave number of days to complete IOPC dispensations, disapplications and discontinuances	0		3		
Ave number of days to complete IOPC Investigation appeals	29		61		
Ave number of days to complete IOPC local resolution appeals	0		0		
Ave number of days to complete IOPC non-recording appeals	16		17		
Ave number of days to complete IOPC disapplication appeals	0		0		
Ave number of days to complete IOPC discontinuance appeals	0		0		
<b>Investigations - timeliness</b>					
Ave number of days to finalise allegations by independent investigation	180		420	243	420
Ave number of days to finalise allegations by managed investigation	0		0	0	

**Notes**

**Table C:**

RAB refers to 'relevant appeal body'. Both Chief Officers of police forces and the IOPC are appeal bodies and consider appeals. The measure 'Ave number of days to forward appeals to the RAB' captures how long it takes the IOPC to determine that an appeal it has received should have been made to the force and to forward it to that force.

**Data sources:**

Complaint case and allegation data is taken from XML data submissions made by forces to the IOPC every quarter.

IOPC performance data is taken from the IOPC case management system.

Police force employee numbers are taken from the publication *Police Workforce, England and Wales, 31 March 2018* (National Statistics).

The Most Similar Force (MSF) groups for the calculation of the MSF averages used in this bulletin are those determined by the Home Office. Please note that British Transport Police and City of London Police do not have an MSF group. A list of the MSF groups is available on the IOPC website: <https://policeconduct.gov.uk/research-and-learning/statistics/complaints-statistics>

**Performance Framework counting rules and calculations:**

The counting rules and calculations used to produce the data shown in this bulletin can be found on the IOPC website: <https://policeconduct.gov.uk/research-and-learning/statistics/complaints-statistics>

**Data constraints:**

The data is sourced from live case management systems and provides a snap shot of information as it was at that time. Therefore, there may be variances between the information in this bulletin and information reported at a later date.

**Further information:**

A glossary providing a full list of definitions used in these bulletins, can be found on the IOPC website: <https://policeconduct.gov.uk/research-and-learning/statistics/complaints-statistics>

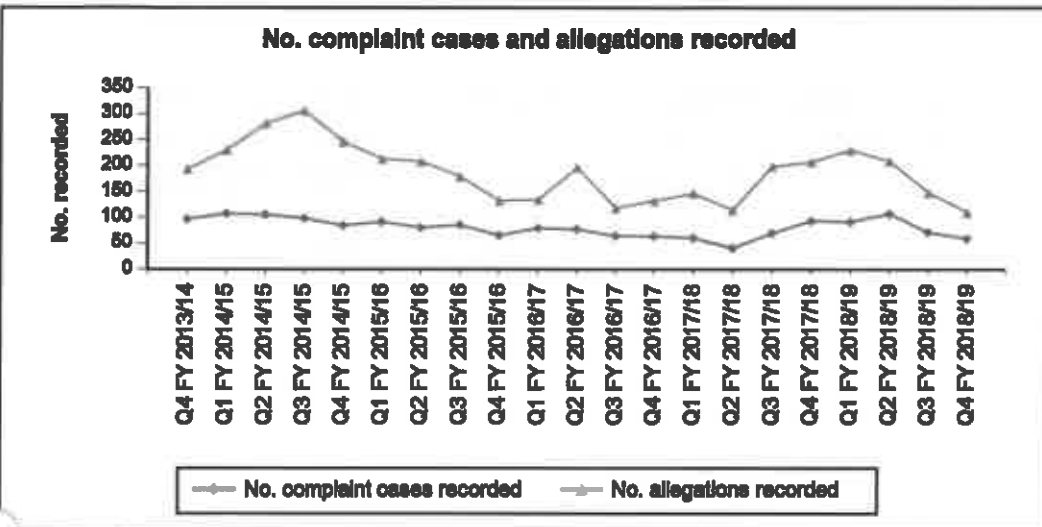
Additional information about the recording of police complaints, including the definitions of the allegation categories, can be found in the *Guidance on the Recording of Complaints Under the Police Reform Act 2002* on the IOPC website: <https://policeconduct.gov.uk/research-and-learning/statistics/complaints-statistics>

Information about how the police complaints system operates, who can complain and how appeals are dealt with can be found in the *IOPC Statutory Guidance (2015)* on the IOPC website: <https://policeconduct.gov.uk/complaints-and-appeals/statutory-guidance>.

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Complaint cases and allegations recorded

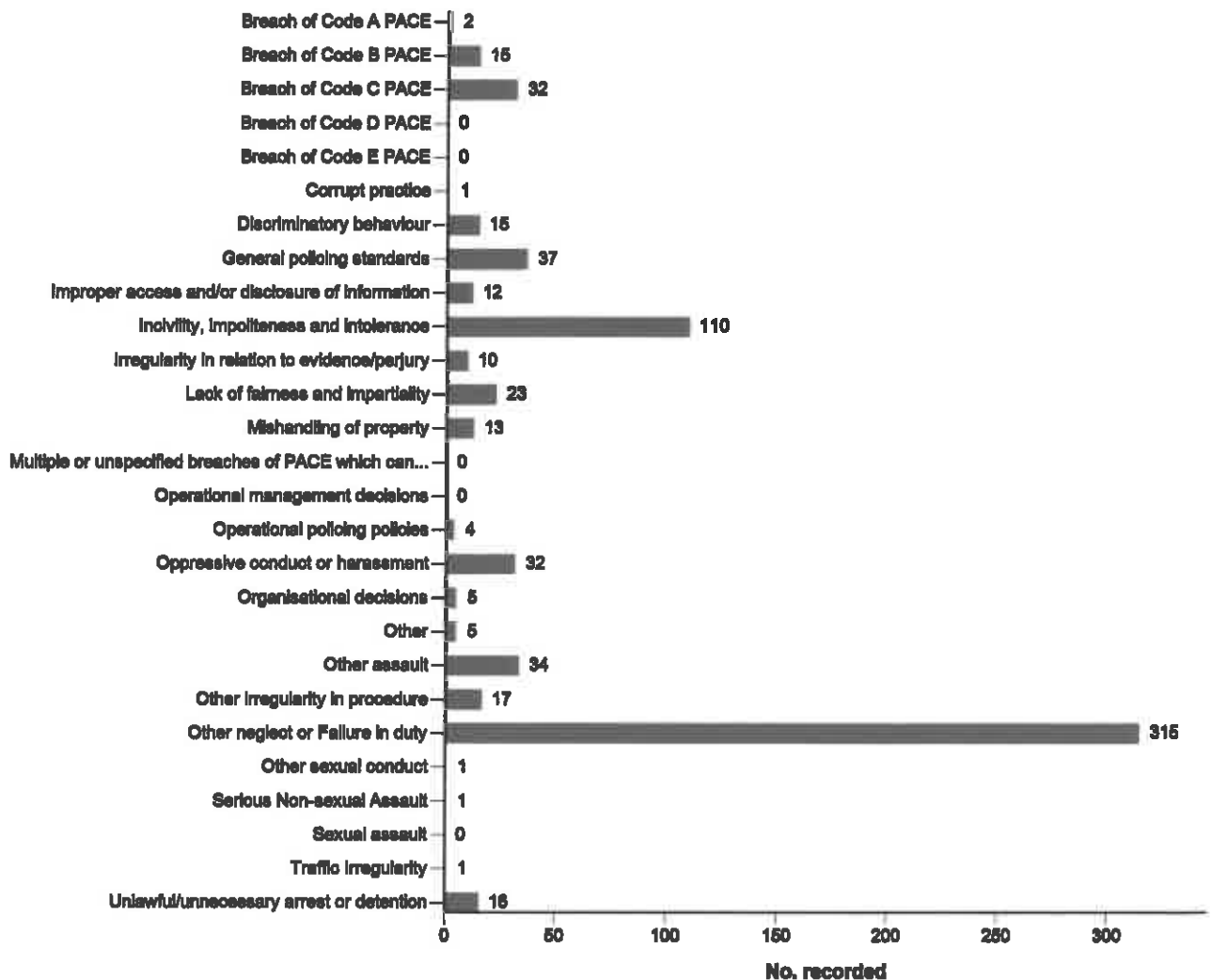


The line chart shows the number of complaint cases and allegations recorded in each quarter of each financial year. The bar chart shows the number of allegations recorded in each category this year to date.

A complaint case can have more than one allegation attached to it. From Q3 2012/13, the number of allegations recorded includes allegation categories that previously did not fall within the police complaints system.

Definitions of the allegation categories can be found in the *IOPC Guidance Recording of Complaints Under the Police Reform Act 2002*.

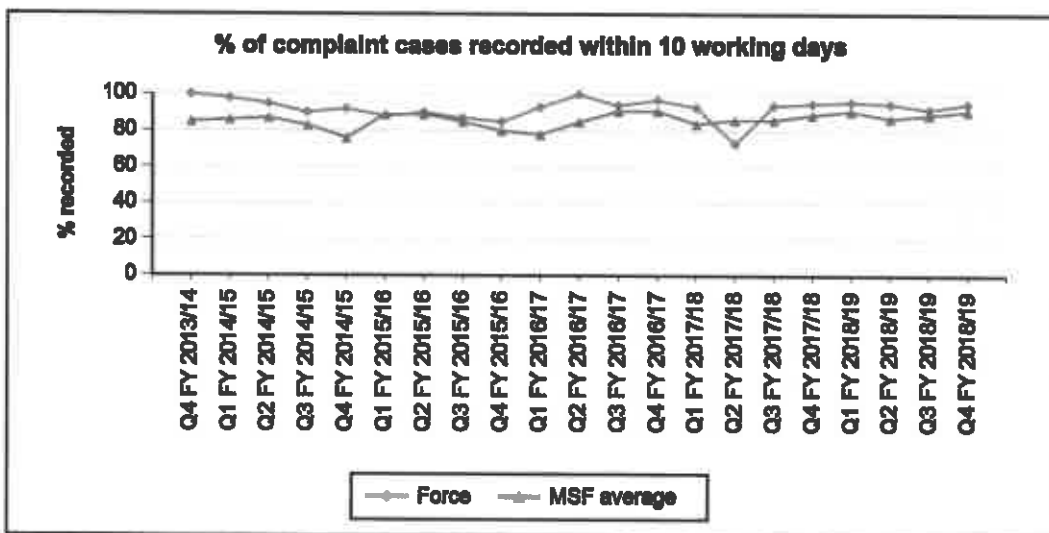
Nature of allegations recorded 01 April 2018 to 31 March 2019



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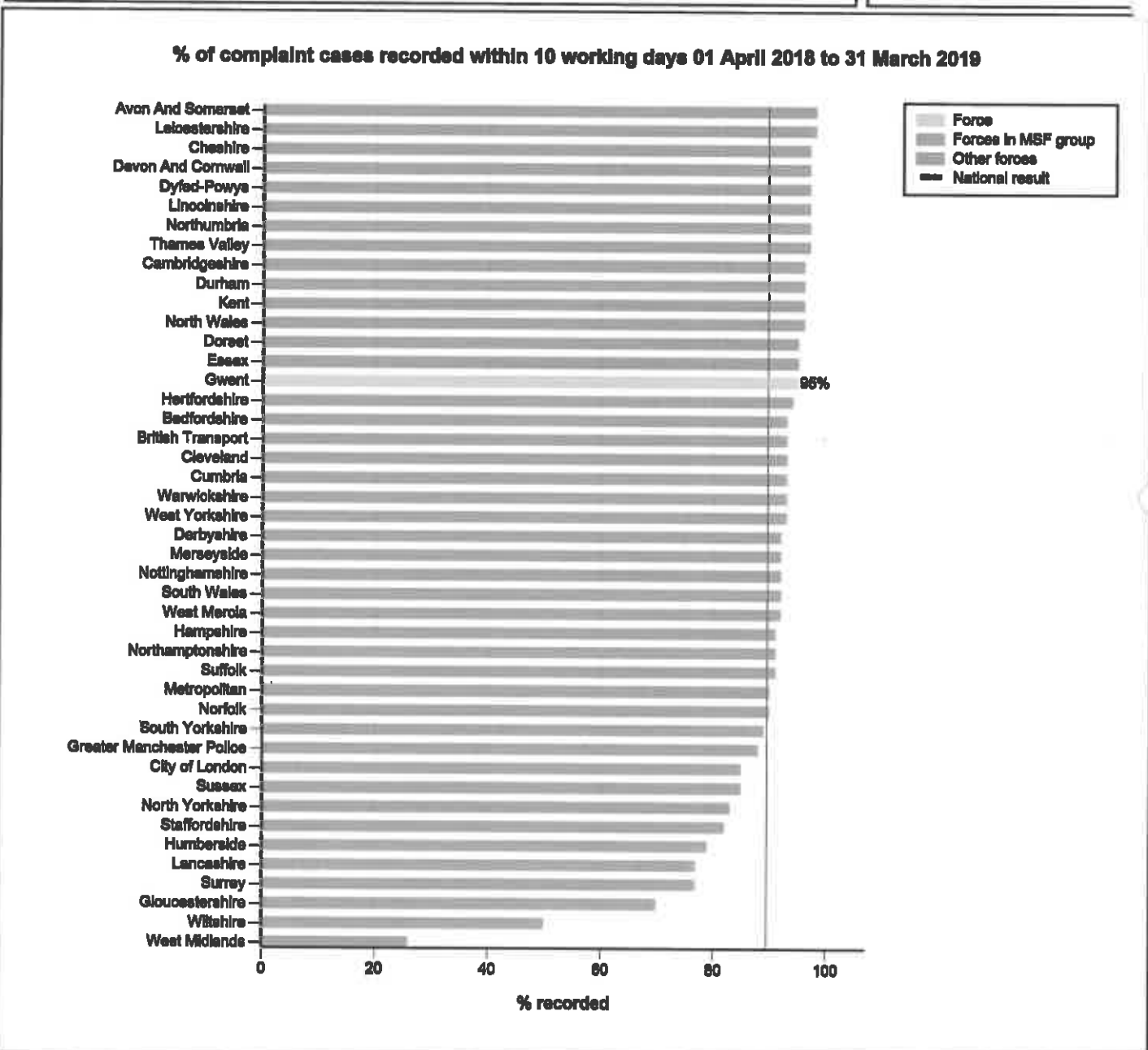
Complaint cases recorded within 10 working days



The line chart shows the percentage of complaint cases recorded within 10 working days in each quarter in each financial year.

The bar chart shows this information for each force for this year to date, compared to the national result.

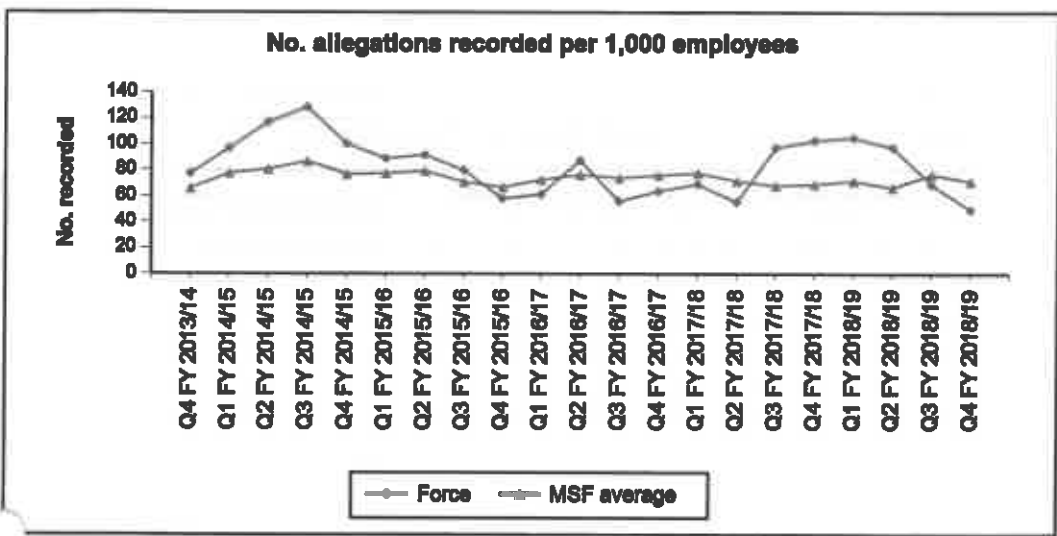
Complaint cases with 'invalid dates' have been removed from the data shown in these charts – refer to the *Performance Framework counting rules and calculations* on the IOPC website for an explanation of invalid dates.



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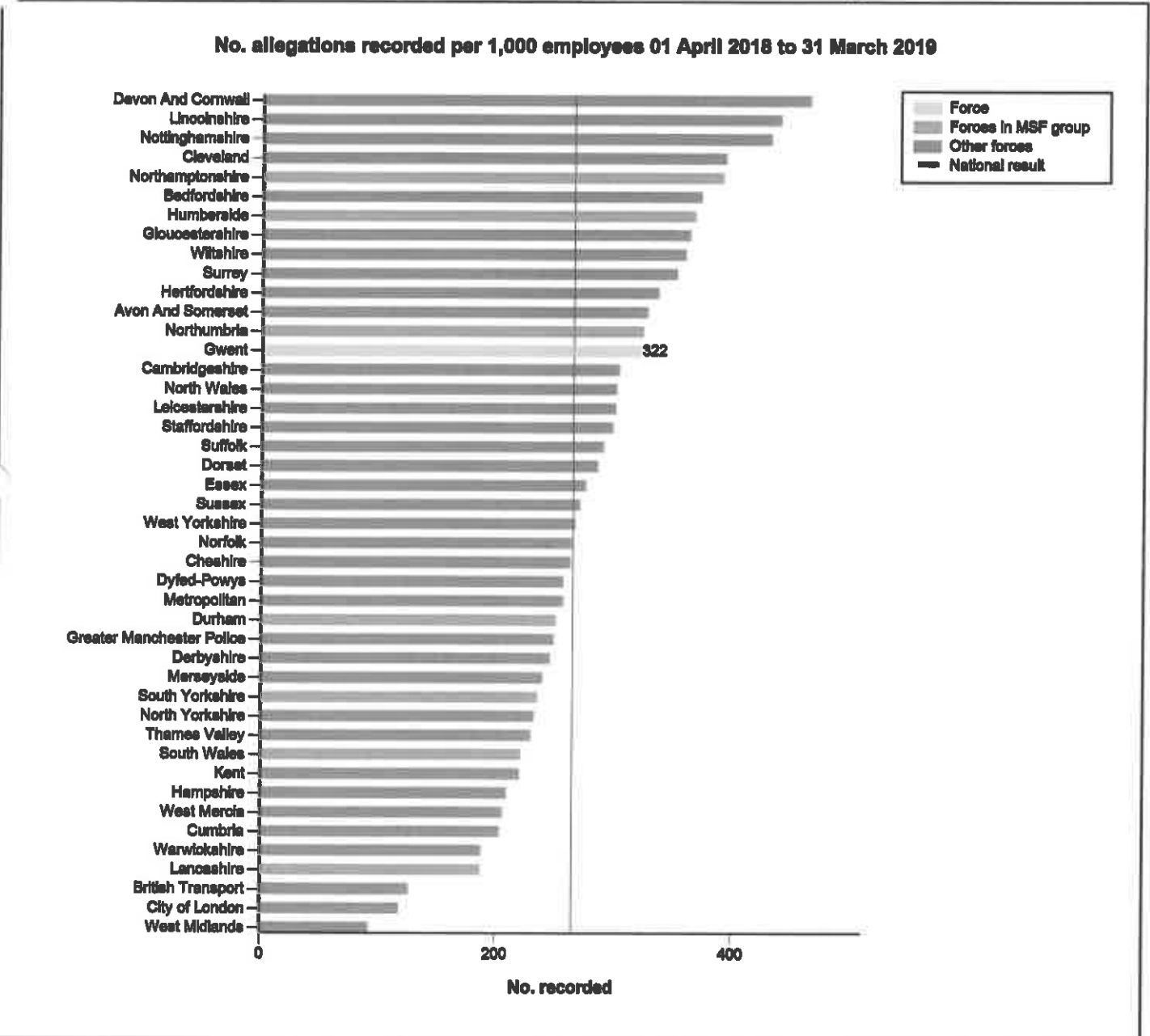
Allegations recorded per 1,000 employees



The line chart shows the number of allegations recorded for every 1,000 force employees in each quarter in each financial year.

The bar chart shows this information for each force for this year to date, compared to the national result.

'Employees' means individuals employed by a force who fall within specific employee groups – refer to the Performance Framework counting rules and calculations on the IOPC website for further information.

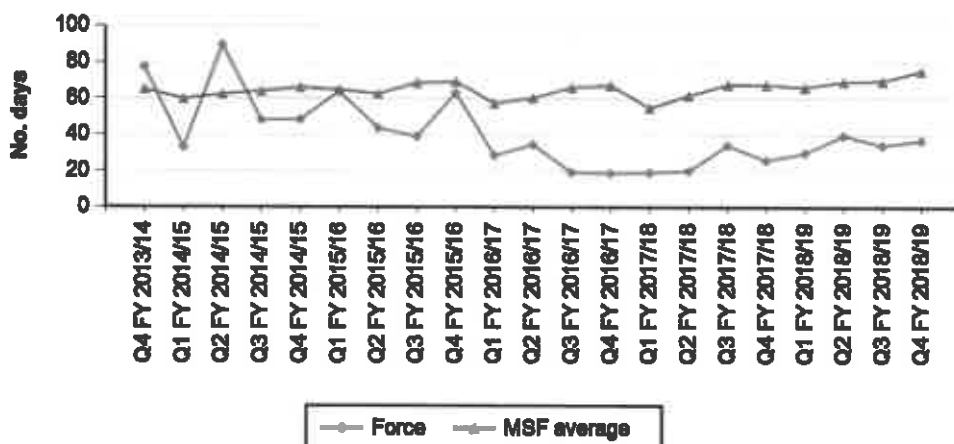


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Allegations finalised - time taken to finalise allegations by local resolution

Ave no. days to locally resolve allegations

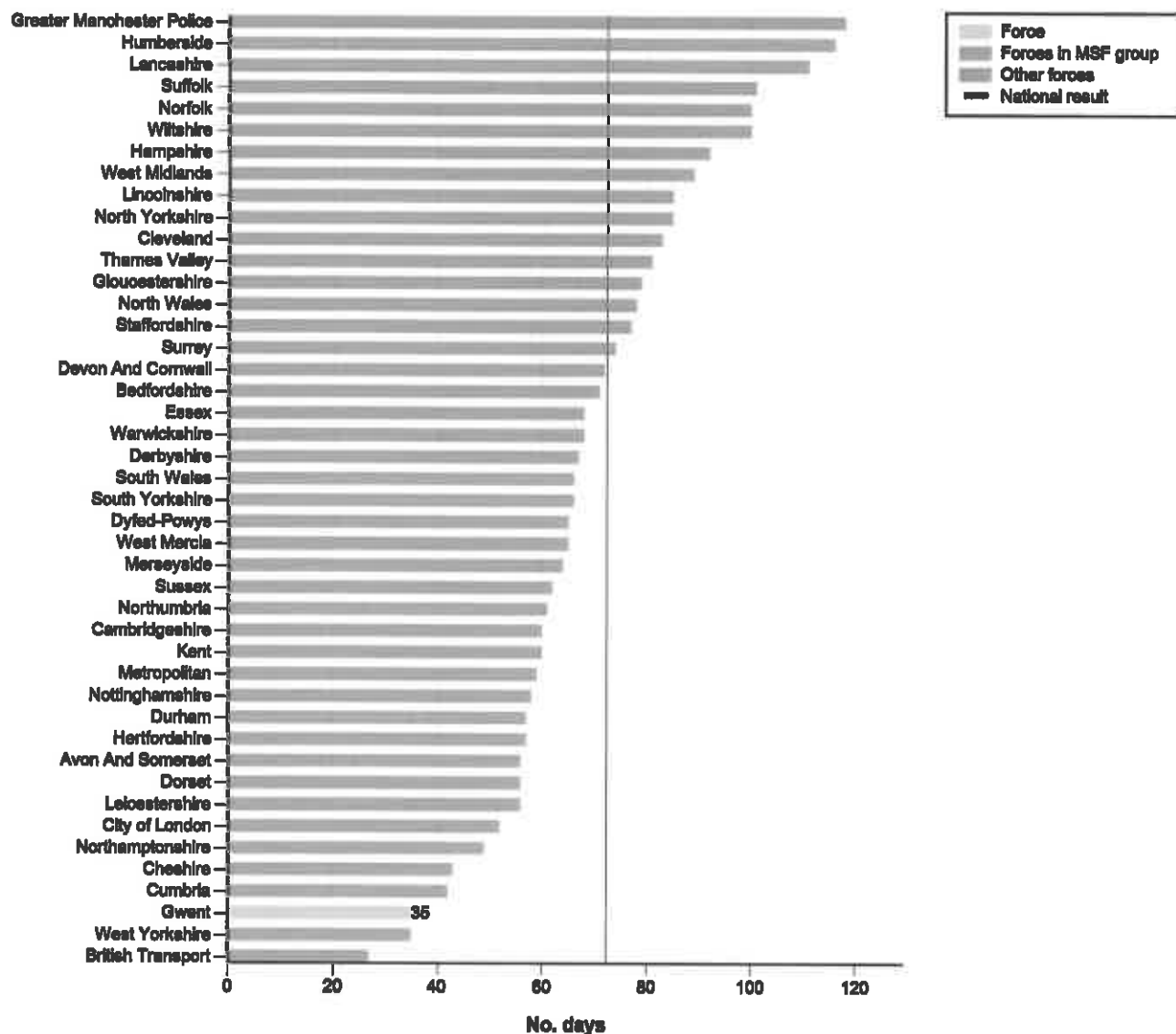


The line chart shows the average number of working days it has taken to finalise allegations by local resolution in each quarter in each financial year.

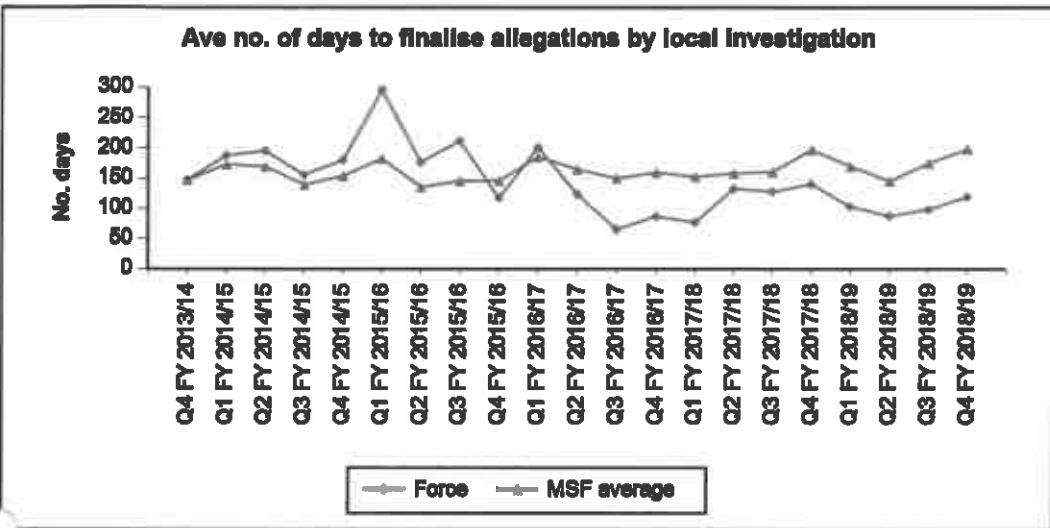
The bar chart shows this information for each force for this year to date, compared to the national result.

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Ave no. days to locally resolve allegations 01 April 2018 to 31 March 2019



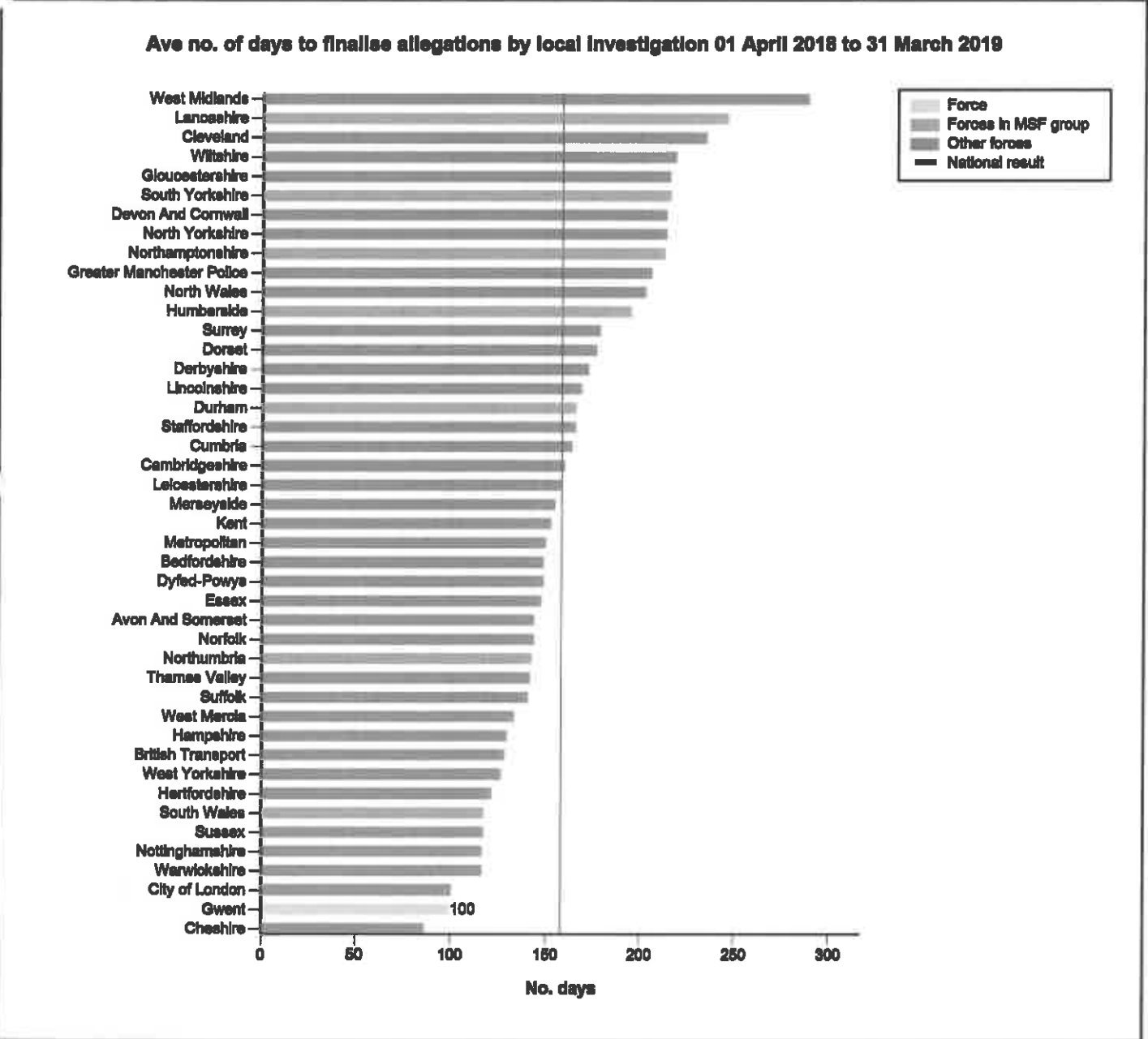
Allegations finalised - time taken to finalise allegations by local investigation



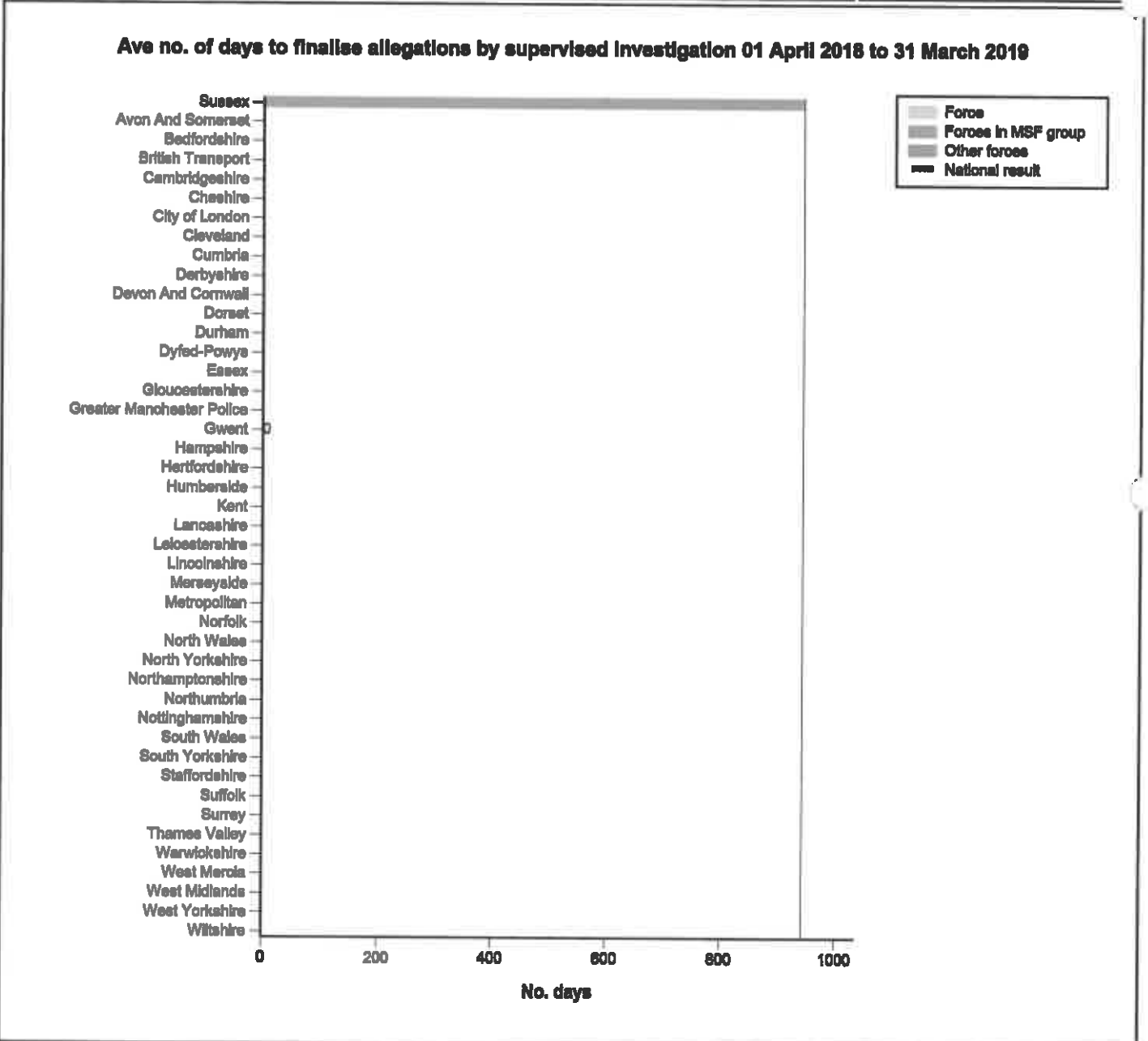
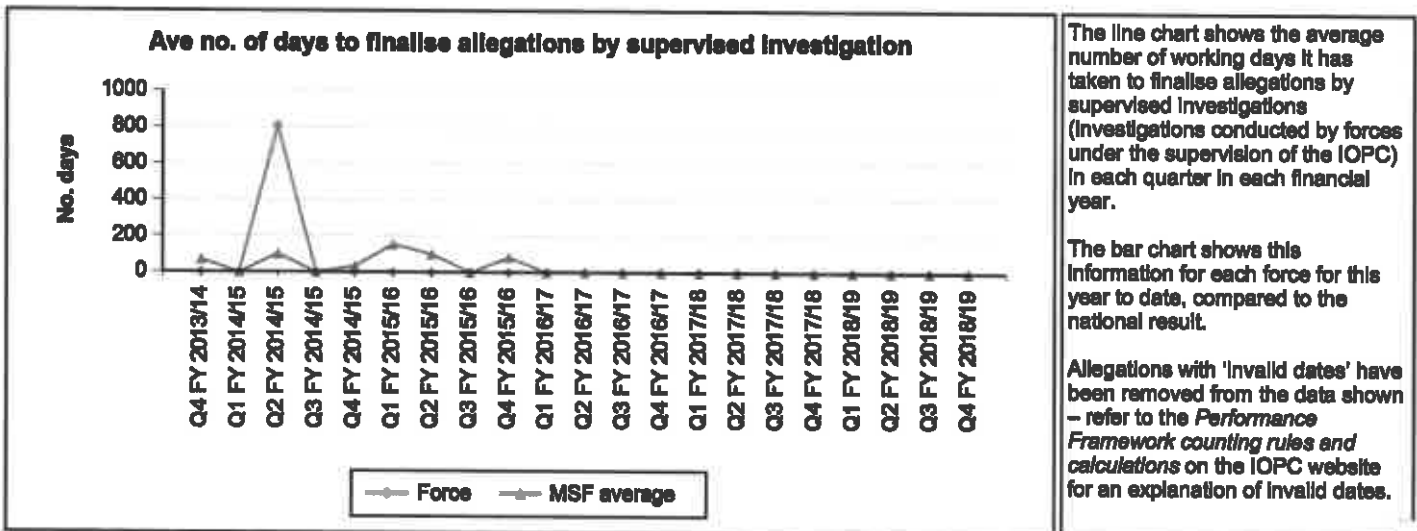
The line chart on this page shows the average number of working days it has taken to finalise allegations that were investigated locally (i.e. by the police force) in each quarter in each financial year.

The bar chart shows this information for each force for this year to date, compared to the national result.

Allegations with 'invalid dates' have been removed from the data shown – refer to the *Performance Framework counting rules and calculations* on the IOPC website for an explanation of invalid dates.



**Allegations finalised - time taken to finalise allegations by supervised investigation**

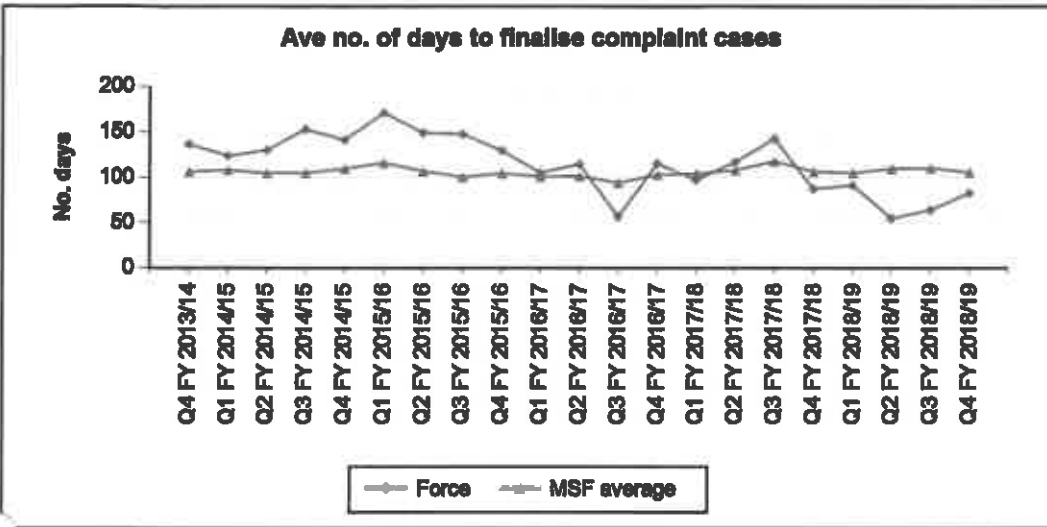




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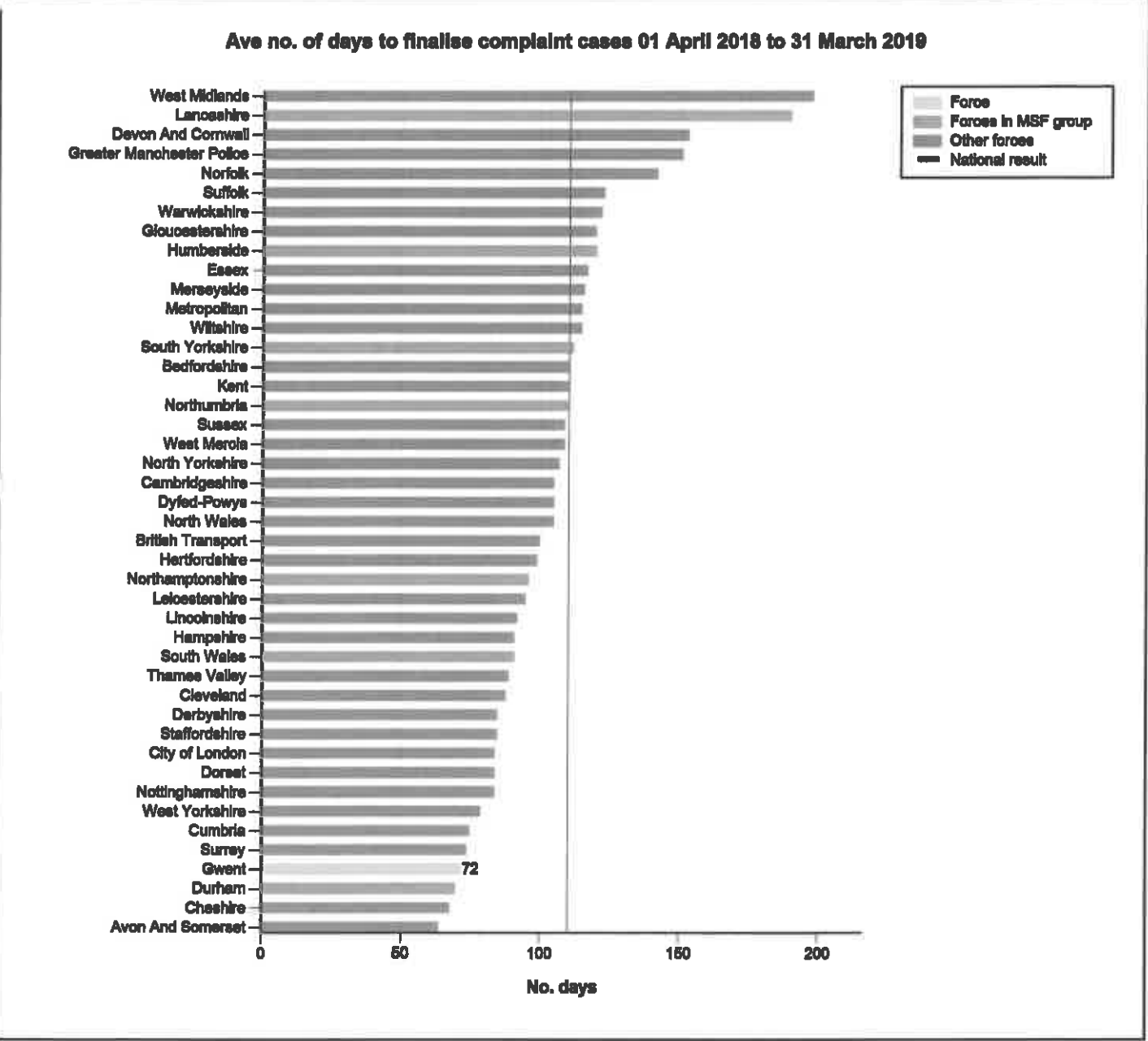
Complaint cases finalised - time taken to finalise complaint cases (Including suspension)



The line chart shows the average number of working days it has taken to finalise complaint cases in each quarter in each financial year.

The bar chart shows this information for each force for this year to date, compared to the national result.

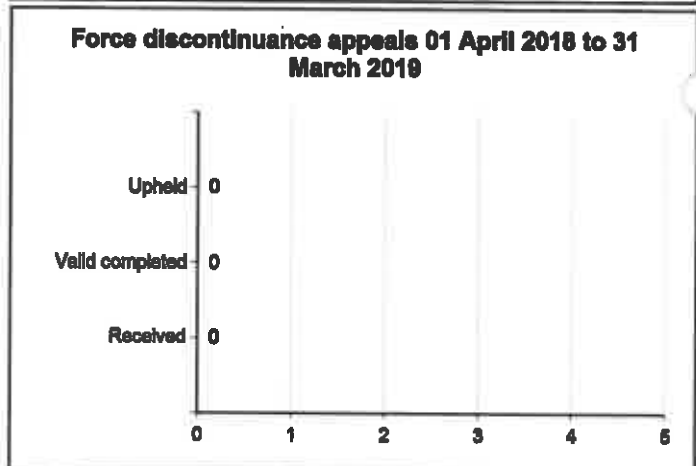
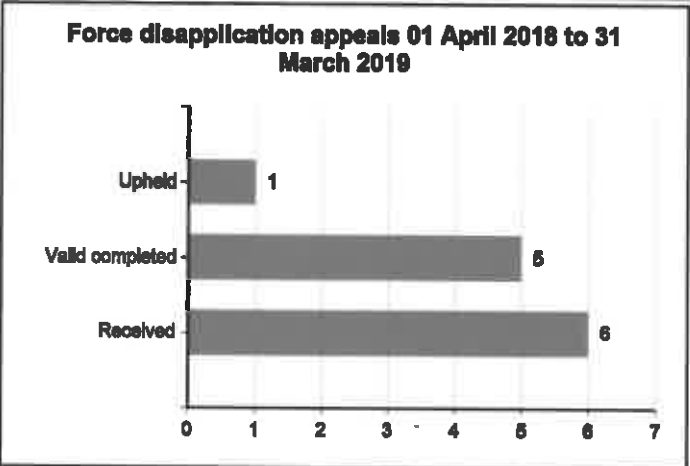
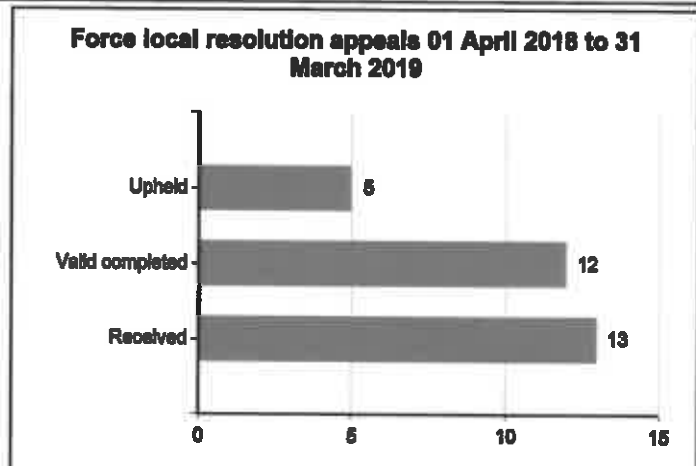
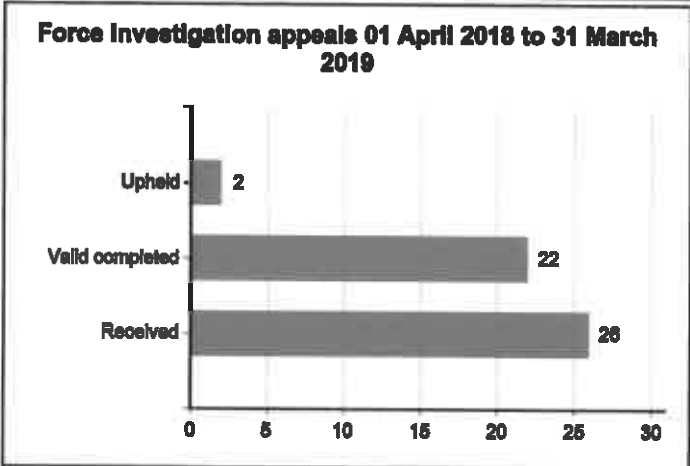
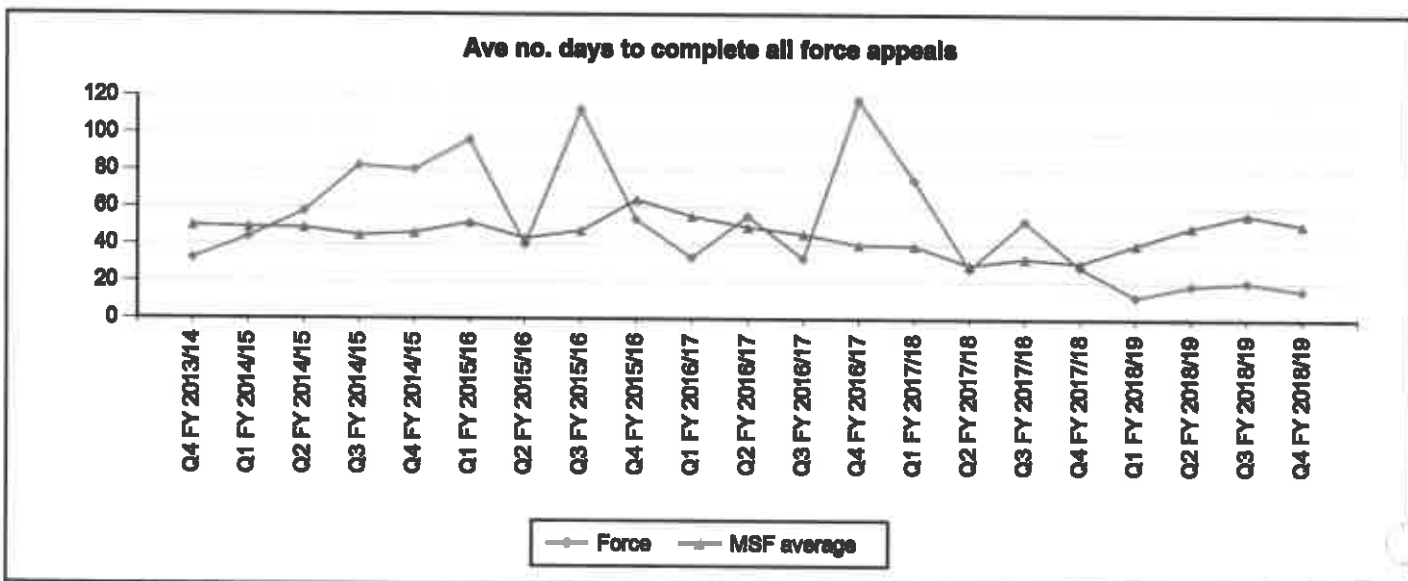
Complaint cases with 'invalid dates' have been removed from the data shown – refer to the *Performance Framework counting rules and calculations* on the IOPC website for an explanation of invalid dates.



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Force appeals



The line chart shows the average number of working days it has taken police forces to complete appeals (all appeal types) in each quarter in each financial year.

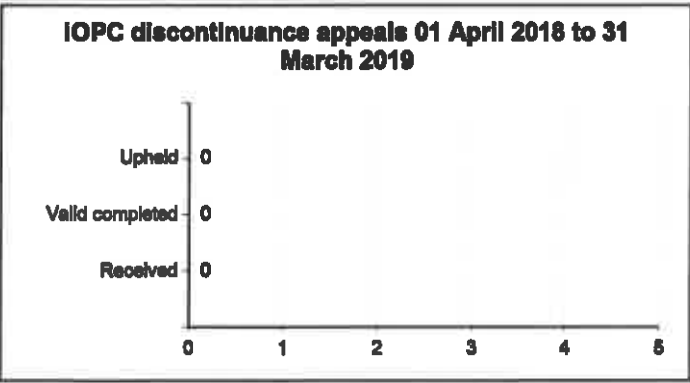
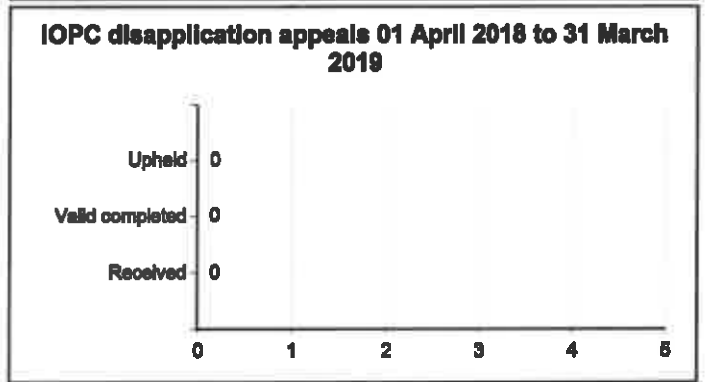
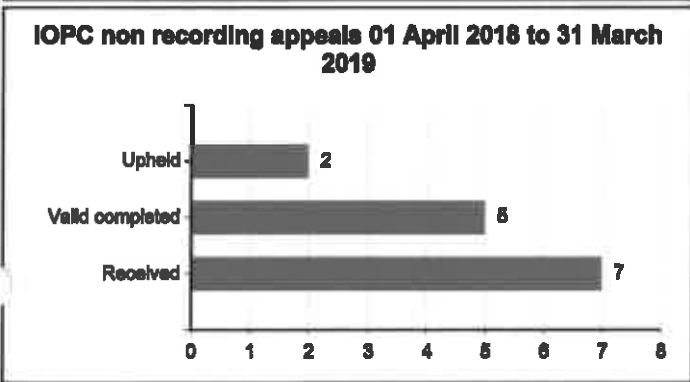
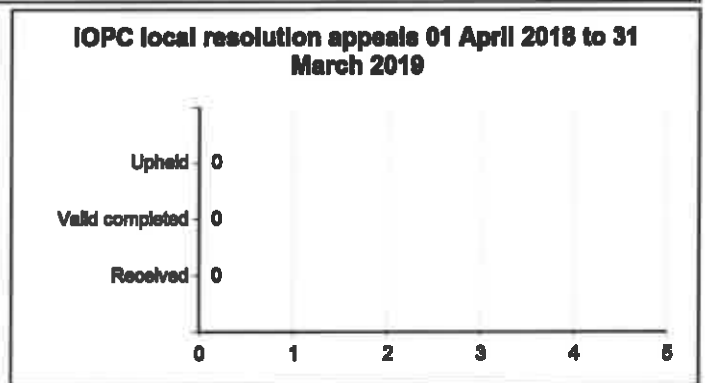
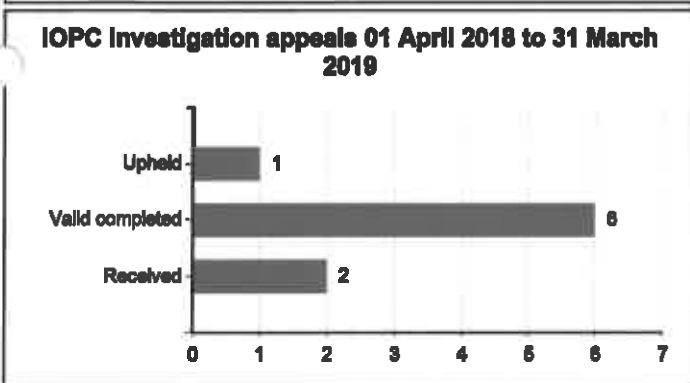
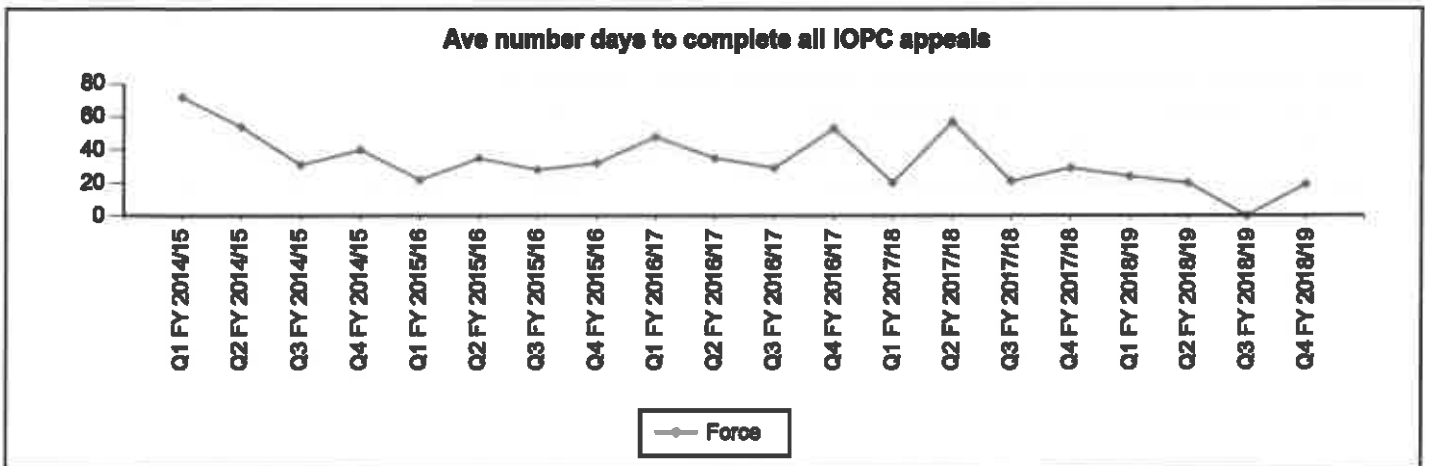
The bar charts show the number of appeals received, valid completed and upheld this year to date by police forces for each appeal type. 'Valid completed' excludes appeals where there was no right of appeal, i.e. invalid appeals, and any appeals that were withdrawn.

Force appeals data is only available from 2013/14. From 1 January 2016, the British Transport Police consider appeals. Before this date all appeals relating to the British Transport Police were considered by the IOPC.

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**IOPC appeals**



The line chart shows the average number of working days it has taken the IOPC to complete appeals (all appeal types) in each quarter in each financial year.

The bar charts show the number of appeals received, valid completed and upheld this year to date by the IOPC for each appeal type. 'Valid completed' excludes appeals where there was no right of appeal, i.e. invalid appeals.

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Data Tables - IOPC data

Table D: No. of referrals received

Previous Year				Current Year			
Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
15	4	14	8	5	11	7	5

Table E: No. mode of investigation (MOI) decisions made

MOI decision	Previous Year				Current Year			
	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Independent	6	3	2	0	2	0	2	2
Managed	0	0	0	0	0	0	0	0
Supervised	0	0	0	0	0	0	0	1
Local	8	1	9	9	2	7	5	2
Refer back	1	0	1	1	0	3	1	0

Table F: IOPC appeals received, completed and upheld

Appeal type	Previous Year				Current Year			
	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Inv received	2	1	2	4	2	0	0	0
Inv completed	1	3	3	0	6	0	0	0
Inv valid completed	1	3	3	0	6	0	0	0
Inv upheld	0	1	0	0	1	0	0	0
% Inv upheld	0%	33%	0%	0%	17%	0%	0%	0%
LR received	0	0	0	0	0	0	0	0
LR completed	0	0	0	0	0	0	0	0
LR valid completed	0	0	0	0	0	0	0	0
LR upheld	0	0	0	0	0	0	0	0
% LR upheld	0%	0%	0%	0%	0%	0%	0%	0%
NR received	6	2	4	0	3	2	0	2
NR completed	5	3	2	2	2	3	0	1
NR valid completed	5	3	2	0	1	3	0	1
NR upheld	3	1	2	0	0	1	0	1
% NR upheld	60%	33%	100%	0%	0%	33%	0%	100%
Disapp received	0	0	0	0	0	0	0	0
Disapp completed	0	0	0	0	0	0	0	0
Disapp valid completed	0	0	0	0	0	0	0	0
Disapp upheld	0	0	0	0	0	0	0	0
% disapp upheld	0%	0%	0%	0%	0%	0%	0%	0%
Discon received	0	0	0	0	0	0	0	0
Discon completed	0	0	0	0	0	0	0	0
Discon valid completed	0	0	0	0	0	0	0	0
Discon upheld	0	0	0	0	0	0	0	0
% discon upheld	0%	0%	0%	0%	0%	0%	0%	0%

The figures in Tables D and E include all referrals, not just those arising from complaints. Table D shows the number of referrals received in each quarter. Table E shows the IOPC MOI decision for referrals completed in each quarter. The sum of the figures for each quarter in Table E may be different to the figures in Table D as a referral may be received in one quarter and completed in another. In addition, the figures in Table E do not include referrals with a mode of investigation decision of 'invalid'. Table F shows the number of appeals received, completed and upheld by the IOPC in each quarter for each appeal type. 'Inv' refers to investigation appeals, 'LR' to local resolution appeals, 'NR' to non recording appeals, 'Disapp' to disapplication appeals and 'Discon' to discontinuance appeals. Data for disapp and discon appeals is not available prior to 11 March 2013. 'Valid completed' excludes appeals where there was no right of appeal, i.e. invalid appeals. The calculation for the % appeals upheld excludes any appeals that were deemed invalid.

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Data tables - Allegations finalised

Table G: Means allegations finalised by

Means		Previous Year				Current Year			
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Investigation	No.	55	91	61	165	89	107	107	61
	%	51%	66%	56%	63%	46%	47%	48%	50%
Local resolution	No.	28	29	21	41	48	67	56	13
	%	26%	21%	19%	16%	25%	29%	25%	11%
Withdrawn	No.	13	11	21	36	44	52	48	36
	%	12%	8%	19%	14%	23%	23%	22%	30%
Discontinued	No.	0	0	0	0	0	0	0	0
	%	0%	0%	0%	0%	0%	0%	0%	0%
Disapplied	No.	11	6	5	20	14	2	11	11
	%	10%	4%	5%	8%	7%	1%	5%	9%
Dispensed	No.	0	0	0	0	0	0	0	0
	%	0%	0%	0%	0%	0%	0%	0%	0%

Table H: Allegations finalised by investigation type

Investigation type		Previous Year				Current Year			
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Independent	No.	8	0	0	0	15	0	14	0
	%	15%	0%	0%	0%	17%	0%	13%	0%
Managed	No.	0	0	0	0	0	0	0	0
	%	0%	0%	0%	0%	0%	0%	0%	0%
Supervised	No.	0	0	0	0	0	0	0	0
	%	0%	0%	0%	0%	0%	0%	0%	0%
Local	No.	47	91	61	165	74	107	93	61
	%	85%	100%	100%	100%	83%	100%	87%	100%

Table G shows the means by which allegations were finalised as a number and a percentage of the total number of allegations finalised by all means (including those with means 'not known') in that quarter. 'Investigation' includes all types (independent, managed, supervised and local).

Table H shows the number of allegations finalised by investigation in each quarter, broken down by investigation type. The percentage is of the total number of allegations finalised by investigation.

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Data tables - Force appeals

Table I: Force appeals received, completed and upheld

Appeal type	Previous Year				Current Year			
	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Inv received	7	9	7	6	9	6	3	8
Inv completed	15	9	5	12	4	9	5	4
Inv valid completed	14	8	5	12	4	9	5	4
Inv upheld	0	1	1	0	0	0	1	1
% Inv upheld	0%	13%	20%	0%	0%	0%	20%	25%
LR received	0	3	2	2	3	0	6	4
LR completed	4	1	3	3	3	0	6	3
LR valid completed	3	1	3	3	3	0	6	3
LR upheld	0	0	0	1	3	0	2	0
% LR upheld	0%	0%	0%	33%	100%	0%	33%	0%
Disapp received	0	0	0	1	2	0	3	0
Disapp completed	1	0	0	1	1	1	3	0
Disapp valid completed	1	0	0	1	1	1	3	0
Disapp upheld	0	0	0	0	1	0	0	0
% disapp upheld	0%	0%	0%	0%	100%	0%	0%	0%
Discon received	0	0	0	0	0	0	0	0
Discon completed	0	0	0	0	0	0	0	0
Discon valid completed	0	0	0	0	0	0	0	0
Discon upheld	0	0	0	0	0	0	0	0
% discon upheld	0%	0%	0%	0%	0%	0%	0%	0%

Table I shows the number of appeals received, completed and upheld by police forces in each quarter for each appeal type. 'Inv' refers to investigation appeals, 'LR' to local resolution appeals, 'Disapp' to disapplication appeals and 'Discon' to discontinuance appeals. 'Valid completed' excludes appeals where there was no right of appeal, i.e. invalid appeals, and any appeals that were withdrawn. The calculation for the % appeals upheld excludes any appeals that were deemed invalid and any that were withdrawn.

Force appeals data is only available from 2013/14. From 1 January 2016, the British Transport Police consider appeals. Before this date all appeals relating to the British Transport Police were considered by the IOPC.

**Police and Crime Commissioner for Gwent**

I confirm that I have considered whether or not I have any personal or prejudicial interest in this matter and take the proposed decision in compliance with the Code of Conduct.

The above request has my approval.

**Signature:**

*Jeff Crow*

**Date:**

*6/6/19*

