

INFORMATION COMPLIANCE - DATA BREACH / ICO LIAISON DASHBOARD

April 2021

❖ Since 25th May 2018, there have been:

- 150 Serious Breach incidents reported at Gwent police
- 20 reported in 2018
- 47 reported in 2019
- 70 reported in 2020
- 13 reported so far in 2021

❖ In line with the Serious Breach Impact Assessment, incidents were graded as follows:

- GREEN = 78 (Impact on data subject is minimal)
- AMBER = 66 (Subject suffers some damage or distress)
- RED = 6 (Impact on data subject is significant)

❖ Of the 150 incidents where a breach had occurred:

- 135 (90%) involved personal data that had been compromised whilst conducting official duties
- 11 (0.07%) related to security breaches whereby items containing sensitive information had been misplaced
- 4 (0.02%) external factors

SUMMARY (January 2020 - Current)

Reference	Report to ICO	Department	Outcome	Incident Date
SBIA_001-021_040121	No	Crime Operations & Crime Support	Closed - Breach Reported Recipient contacted and asked to delete the email Appropriate advice provided	23/12/2020 @ 1303
SBIA_002-021_180121	NO	Information and Data Management	Closed - Breach reported PNC record deleted Appropriate advice provided	18/01/2021
SBIA_003-021_020221	No	People Services	Closed - Breach Reported Password changed Appropriate advice provided	02/02/2021
SBIA_004-021_030221	No	LPA	Closed - Breach Reported MG Form destroyed Appropriate advice provided	10/08/2020
SWP Breach		Data Protection - Gwent	Closed - No Breach	
SWP Breach		Legal Services	Closed - No Breach	
SBIA_005-021_030321		External Solicitors sent a letter advising of breach	Closed - Appropriate advice provided	13/05/2020
SBIA_006-021_120321	No	Staff Associations	Closed - Breach Reported Printout destroyed Appropriate advice provided	12/03/2021@ 1600
SBIA_007-21_19032021	No	L&D	Closed - Breach Reported Email deleted Appropriate advice provided	18/03/2021 @ 08:01
SBIA_008-21_190321	No	LPA - Neighbourhood	Closed - Breach Reported Details were retracted Appropriate advice provided	15/03/2021 @ 12:30
SBIA_009-21_240321	No	People Services	Closed - Breach Reported Email Destroyed Appropriate advice provided	19/03/2021
SBIA_010-21_240321	No	LPA - Response	Closed - Breach Reported Retrieval/destruction of emails Appropriate advice provided	19/03/2021 @ 1226
SBIA_011-21_260321		FIU	-	15/03/2021

SBIA_012-21_090421	No	Change & Improvement	Closed - Information disclosed to trusted partner who had provided personal e-mail address Individual contacted and e-mail deleted from personal inbox All recipient e-mails to be checked prior to sending. Individual and wider team should be made aware of potential for error. E-mail addresses need to be checked prior to sending any information and officers/staff should challenge any e-mail addresses that do not appear to be appropriate for sharing of information e.g. personal addresses.	29/03/2021
SBIA_013-21_090421		LPA - Response	-	02/04/2021

THE INFORMATION COMMISSIONER'S OFFICE (ICO)

The ICO is the UK's independent authority set up to uphold information rights in the public interest, promoting openness by public bodies and data privacy for individuals.

ICO Notifications:

Breaches reported to the ICO May 2018 – December 2018	6	All assessed by the ICO and closed with suitable advice
Breaches reported to the ICO January 2019 – December 2019	5	All others assessed by the ICO and closed with suitable advice.
Breaches reported to the ICO January 2020 - Decemebr 2020	0	
Breaches reported to the ICO January 2021 - Present	0	

ICO Complaints

Complaints received from ICO May 2018 – December 2018	3	All assessed and closed following suitable action
Complaints received from ICO January 2019 – December	5	None upheld.
Complaints received from ICO January 2020 - December 2020	2	Neither upheld.
Complaints received from ICO January 2021 - Current	2	

ICO Information Notices:

Eurofins Information Notice received from ICO July 2019	1	Response submitted 26/07/19.
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ICO Decision Notice (FOI):

Eurofins Information Notice received from ICO July 2019	1	Response submitted 26/07/19.
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ICO Self Referral:

Referral submitted to ICO May 2018 – December 2018	0	n/a
Referral submitted to ICO January 2019 – December 2019	1	ICO will action upon receipt of complaint from data subject
Referral Submitted to ICO January 2020 - December 2020	0	
Referral Submitted to ICO January 2021 - Current	0	

Information Governance Actions:

1	Targeted data protection awareness sessions where multiple incidents occur	Active and ongoing
2	Continuous monitoring of NCalt Managing Information module	Reactivated by IAB

3	Initiate annual refresher training of NCalt Managing Information module	Active and ongoing
4	Continuous monitoring of Data Breaches to ensure effective and timely reporting to ICO	Active and ongoing

End of report.