

OFFICE OF THE POLICE & CRIME COMMISSIONER

OFFICE OF CHIEF CONSTABLE

TITLE:	Outstanding Audit Inspection Recommendations
DATE:	10 December 2020
TIMING:	Routine
PURPOSE:	For Monitoring

1.	<u>RECOMMENDATION</u>
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1.1	That the Joint Audit Committee monitors the implementation of recommendations arising from reviews undertaken by External and Internal Audit as set out in the annual internal audit plan.
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2.	<u>INTRODUCTION & BACKGROUND</u>
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2.1	<p>This report provides details of:</p> <ul style="list-style-type: none">i) the status of all Priority 1 and Priority 2 outstanding audit recommendations where the agreed completion date has not been met and an extension of the completion date is sought;ii) the status of all the other Priority 1 outstanding audit recommendations; andiii) a list of all those audit recommendations that have been completed since the last Joint Audit Committee. This is for information only.
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3.	<u>ISSUES FOR CONSIDERATION</u>
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3.1	Attached at Appendix 1 is the status report of the outstanding recommendations from internal audit reports as at 6 th November 2020. Each appendix has been split into three sections in line with paragraph 2.1.
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3.2	Each recommendation shows the officer responsible for completing the required actions, the date by which it is planned to complete the necessary work and the current status of that work.
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3.3	The following tables summarise the current implementation status of all outstanding audit recommendations to date:
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Internal Audit

Findings	Urgent Priority 1	Important Priority 2	Routine Priority 3	Total
B/Fwd	0	2	9	11
New Recommendations	0	11	13	24
Completed	0	1	8	9
Ongoing	0	12	14	26

3.4	<p>The following audit reports have been produced since the previous report:</p> <p>Internal Audit –</p> <ul style="list-style-type: none"> • HR Management: Wellbeing • Corporate Communications • Expenses and additional payments • Follow up (Quarter 2) • Collaborative: Risk Management Mitigating Controls • Collaborative: Fleet Management: Fuel usage • Collaborative: General Ledger
4.	<u>NEXT STEPS</u>
4.1	Appropriate steps to implement the latest audit recommendations are being taken and progress is monitored on an ongoing basis.
5.	<u>FINANCIAL CONSIDERATIONS</u>
5.1	There are no financial implications arising from this report.
6.	<u>PERSONNEL CONSIDERATIONS</u>
6.1	There are no personnel implications arising from this report.
7.	<u>LEGAL IMPLICATIONS</u>
7.1	There are no legal implications arising from this report.
8.	<u>EQUALITIES AND HUMAN RIGHTS CONSIDERATIONS</u>
8.1	This project/proposal has been considered against the general duty to promote equality, as stipulated under the Single Equality Scheme and has been assessed not to discriminate against any particular group.
8.2	In preparing this report, consideration has been given to requirements of the Articles contained in the European Convention on Human Rights and the Human Rights Act 1998.
9.	<u>RISK</u>
9.1	The risk of not completing the recommendations is that it exposes the organisation to operational and financial loss.
10.	<u>PUBLIC INTEREST</u>
10.1	The report will be made available to the public.
11.	<u>CONTACT OFFICER</u>
11.1	Nigel Stephens, ACO - Resources.
12.	<u>ANNEXES</u>
12.1	<p>Appendix 1: Internal Audit Recommendations – Status Report as at 06th November 2020.</p>  <p>JAC Appendix 1 - Outstanding Audit Re</p>

Public Access to Information

Information in this submission is subject to the Freedom of Information Act 2000 (FOIA) and other legislation. This submission will be made available on the OPCC website following consideration by the Police and Crime Commissioner.

Are you satisfied that the contents and observations made are necessary and suitable for the public domain?	
In producing this submission, has consideration been given to 'public confidence'?	
If you consider that this submission should be exempt from the public domain, please state the reasons.	

Consultation:	Tick to confirm (if applicable)
Financial The Treasurer has been consulted on this proposal.	
The Assistant Chief Officer-Resources has been consulted on this proposal.	✓
OPCC (insert name) The Chief Executive has reviewed the request and is satisfied that it is correct and consistent with the PCC's plans and priorities.	
Legal The legal team have been consulted on this proposal.	
Equalities The Equalities Officer has been consulted on this proposal.	

Chief Financial Officer, Office of the Police & Crime Commissioner:

I have been consulted about the proposal and can confirm that financial, legal, equalities

etc... advice has been taken into account in the preparation of this report.
I am satisfied that this is an appropriate report to be submitted to the Joint Audit Committee.

Signature:

Date:

Chief Financial Officer, Office of the Chief Constable
I have been consulted about the proposal and can confirm that financial, legal, equalities etc... advice has been taken into account in the preparation of this report.
I am satisfied that this is an appropriate report to be submitted to the Joint Audit Committee.

Signature:



Date: 27th November 2020