

Audit Exception Dashboard

Audit Actions	Reference	Number	Local Authority Exception Description	Gwent Police Exception Description
Governance	OGS5	1	The MOU rewrite request was made by the Strategic Board, the request was allocated to the Finance and Governance Board for action and update. At the time of support services transfer, the MOU rewrite / refresh had not occurred. The COO is now working with Torfaen to complete this task. Next update due Sept 2022	The MOU rewrite request was made by the Strategic Board, the request was allocated to the Finance and Governance Board for action and update. At the time of support services transfer, the MOU rewrite / refresh had not occurred. The COO is now working with Torfaen to complete this task. Next update due Sept 2022
Email	ISS.4	1	A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementation started in Dec 2021, currently in the discovery phase. Estimated go live September 2022	Complete
Virtualisation	ISS.5	1	A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementation started in Dec 2021, currently in the discovery phase. Estimated go live September 2022	Complete
	ISS.8	1	A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementation started in Dec 2021, currently in the discovery phase. Estimated go live September 2022	Complete
Identity and Access Management	ISS.2	1	A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementation started in Dec 2021, currently in the discovery phase. Estimated go live September 2022	Complete
	ISS.4	1	FIAM Servers is a deliverable in the ITSCM audit. This will be tested as part of the live exercise which is scheduled for 30/11/2022	FIAM Servers is a deliverable in the ITSCM audit. This will be tested as part of the live exercise which is scheduled for 30/11/2022
Cyber security	ISS.11	MA	During the Follow Up audit this has been raised for Management attention. A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementation started in Dec 2021, currently in the discovery phase. Estimated go live September 2022	Complete.
	ISS.3 (previously ISS.11)	1	A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementation started in Dec 2021, currently in the discovery phase. Estimated go live September 2022	Complete
	ISS.29	MA	During the Follow Up audit this has been raised for Management attention. A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementation started in Dec 2021, currently in the discovery phase. Estimated go live September 2022	Complete
	ISS.1 (previously ISS.11)	1	A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementation started in Dec 2021, currently in the discovery phase. Estimated go live September 2022	Complete

	ISS.4	MA	<p>During the Follow Up audit this has been raised for Management attention.</p> <p>A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementaton started in Dec 2021, currently in the discovery phase. Estimated go live September 2022</p>	Complete
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